

# ***Uncertainties in simulated evapotranspiration from Land Surface Model over a 14-year Mediterranean crop succession***

S. Garrigues<sup>1,2,4</sup>, A. Boone<sup>3</sup>, B. Decharme<sup>3</sup>, A. Olioso<sup>1</sup>, C. Albergel<sup>2</sup>, J-C. Calvet<sup>2</sup>, D. Carrer<sup>2</sup>, A. Verhoef<sup>4</sup>, E. Blyth<sup>3</sup>, P-L. Vidale<sup>5</sup>, S. Buis<sup>1</sup>, S. Moulin<sup>1</sup>, A. Chanzy<sup>1</sup>

*1: UMR1114 EMMAH, INRA et Université d'Avignon et des Pays de Vaucluse, Avignon, France*

*2: Centre for Ecology and Hydrology, Wallingford, UK*

*3: CNRM, UMR3589, Météo-France, CNRS, Toulouse*

*4: University of Reading, Department of Geography and Environmental Science, Reading*

*5: University of Reading, Department of Meteorology, Reading, UK*

# *Outline*

1) Introduction

2) Avignon crop succession dataset

3) Modelling experiment design

4) Results

5) Conclusions

# 1. Introduction

# Introduction (1/2)

- **Evapotranspiration (ET) : key variable of the energy & water balance** (Seneviratne et al., 2006)
- **ET: most uncertain term of the water balance of Mediterranean regions** (Dolman et al., 2010 ; Orłowsky et al., 2013)
  - **ET dynamics** and **soil/vegetation partitioning** (Sutanto et al., 2014)
  - Large **departure between models** (Mueller and Seneviratne., 2014)
- Sources of modelling **uncertainties** (Vrugt et al., 2009):
  - **Forcing variables** (e.g. climate, vegetation dynamic, land-use)
  - **Model parameters** (e.g. soil hydrodynamic properties)
  - **Model structure** ( e.g. water transfer scheme, energy balance, crop phenology, irrigation...)

# *Introduction (2/2)*

**Q.1) How crop succession drives the dynamics of ET, ET soil/vegetation partitioning and drainage ?**

**Q.2) What are the most influential sources of uncertainties**

- **climate,**
- **vegetation dynamic,**
- **irrigation,**
- **soil parameters.**

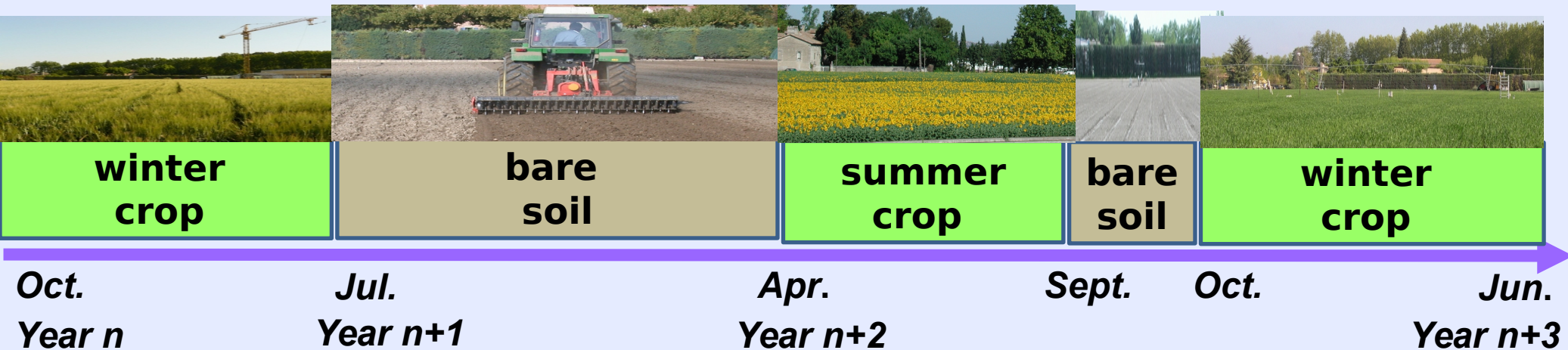
**on ET simulation over a crop succession ?**

- **Q.3) What are the impacts of water transfer scheme:  
Force-Restore vs multi-layer soil diffusion scheme,  
on ET simulation over a crop succession ?**

## **2. Avignon dataset**

# Representation of crop succession

- **Explicit representation of crop succession in the simulation**
- **Succession of winter (wheat) and summer (maize, sorghum, sunflower) crops**
- **Long period (9 months) of bare soil between winter and summer crops**



# Site and in situ data

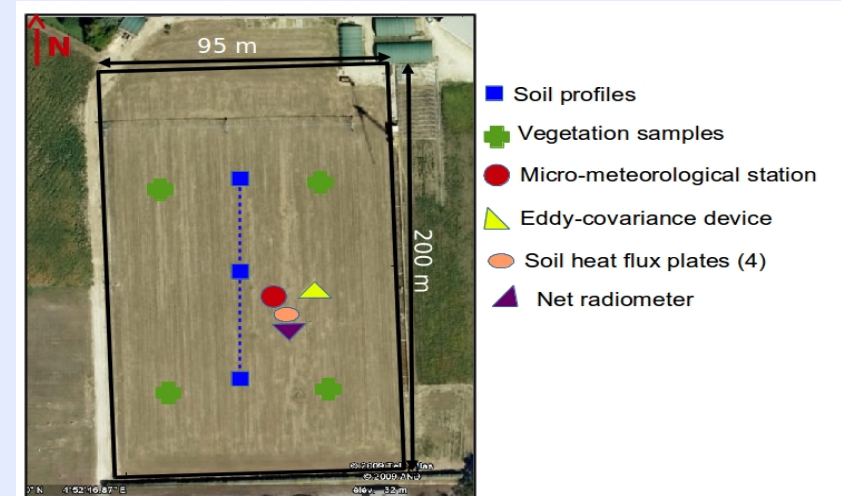
## → Avignon Site

- **lower Rhone Valley region**, France (43°55'00" N , 4°52'47" E, 32m)
- **Mediterranean climate** (mean annual T°C=14°C and mean precip=~650 mm)
- Texture: 15% of sand, 35% of clay
- **Crops: maize, wheat, sorghum, peas, sunflower**



## → 14 years of continuous measurements:

- **Fluxes**: Eddy, radiative and soil heat fluxes
- **Soil moisture vertical profiles**
- **Micrometeorological variables**
- **Vegetation** : LAI , height, agricultural practices





## **3. Modelling experiment design**

# The ISBA-A-gs model



*Noilhan and Planton, 1989*

*Calvet et al., 1998*

*Masson et al., 2013*

## → SURFEX/ISBA-A-gs model

- Version 8.0 of SURFEX
- **Single energy balance** of soil-vegetation composite (a new Multi-Energy Balance scheme is under testing)
- Detailed multi-layer **radiative transfer canopy scheme**
- **Force restore/Multi-layer soil diffusion** for heat and water soil transfers
- **A-gs:**
  - **Coupled photosynthesis-stomatal conductance scheme**
  - Driven by in situ LAI time series in this work
- ECOCLIMAP-II parameters: 1 km, global scale, ~270 land cover types over Europe

## → Implementation at the Avignon site

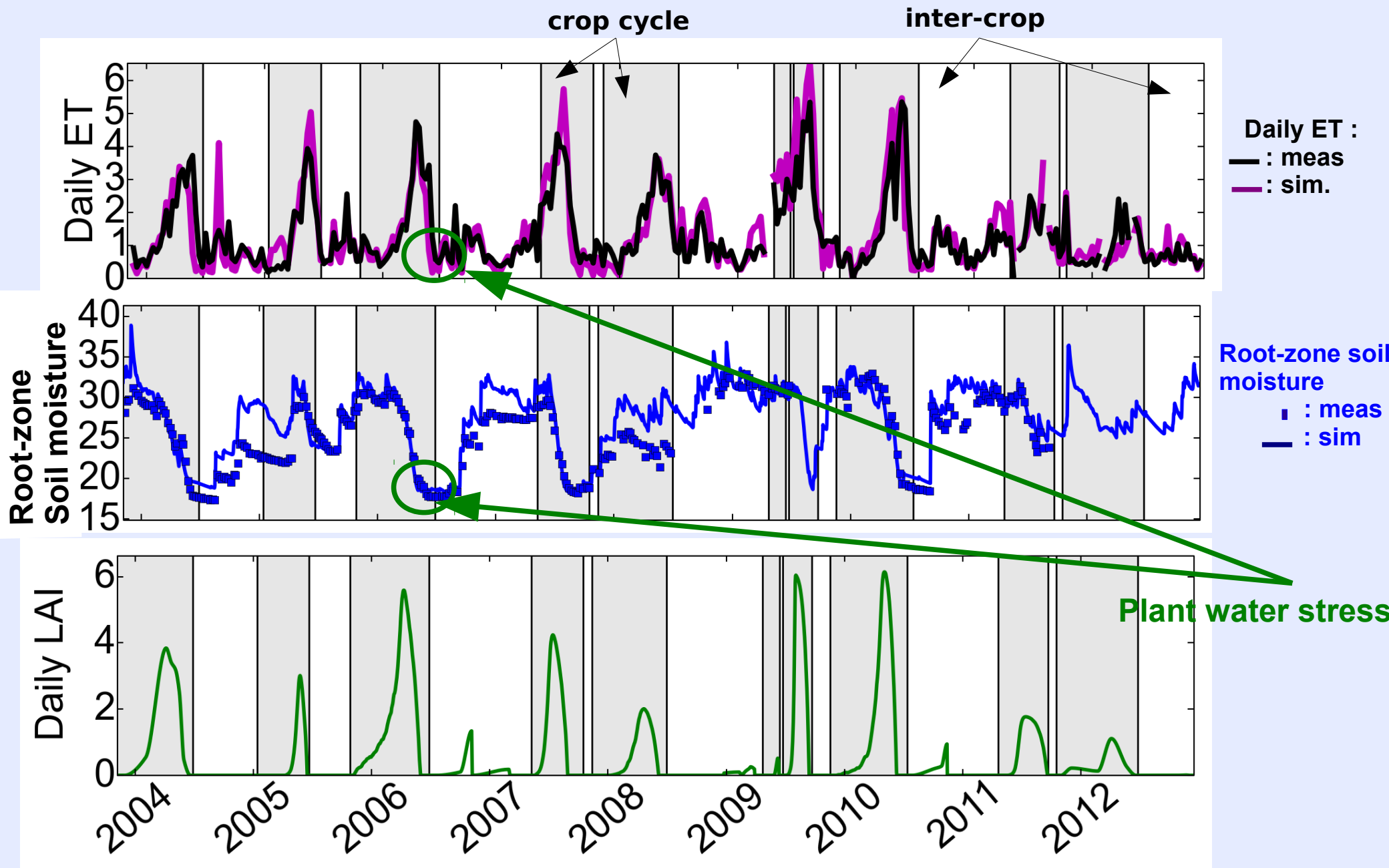
- Continuous simulations from **25 April 2001 to 1 March 2015**
- **Explicit representation of crop succession**
  - ♦ Crop periods: C3, C4 crop model patch,
  - ♦ Inter-crop periods : bare soil model patch.

## **4. RESULTS**

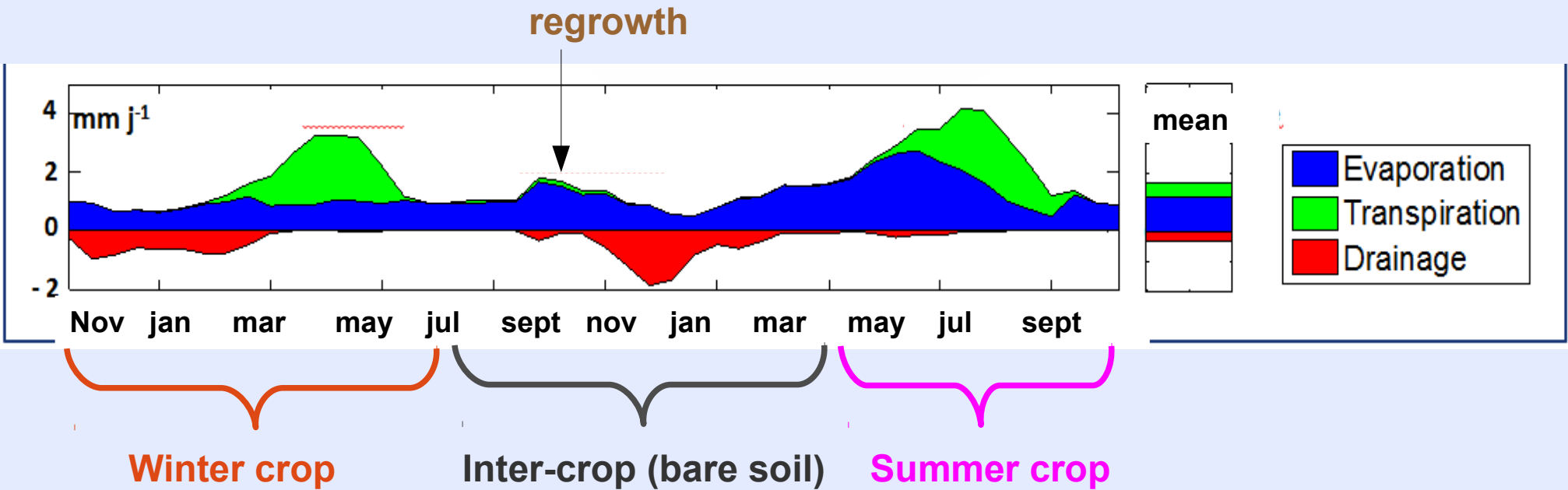
**Q1) How crop succession drives the dynamics of ET, ET  
soil/vegetation partitioning and drainage ?**

**Garrigues et al., HESS, 2015**

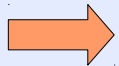
# Influence of crop rotation on ET and soil moisture dynamics



# Influence of crop rotation on the water balance dynamic



- **Transpiration** : large flux, short period of time



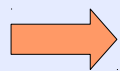
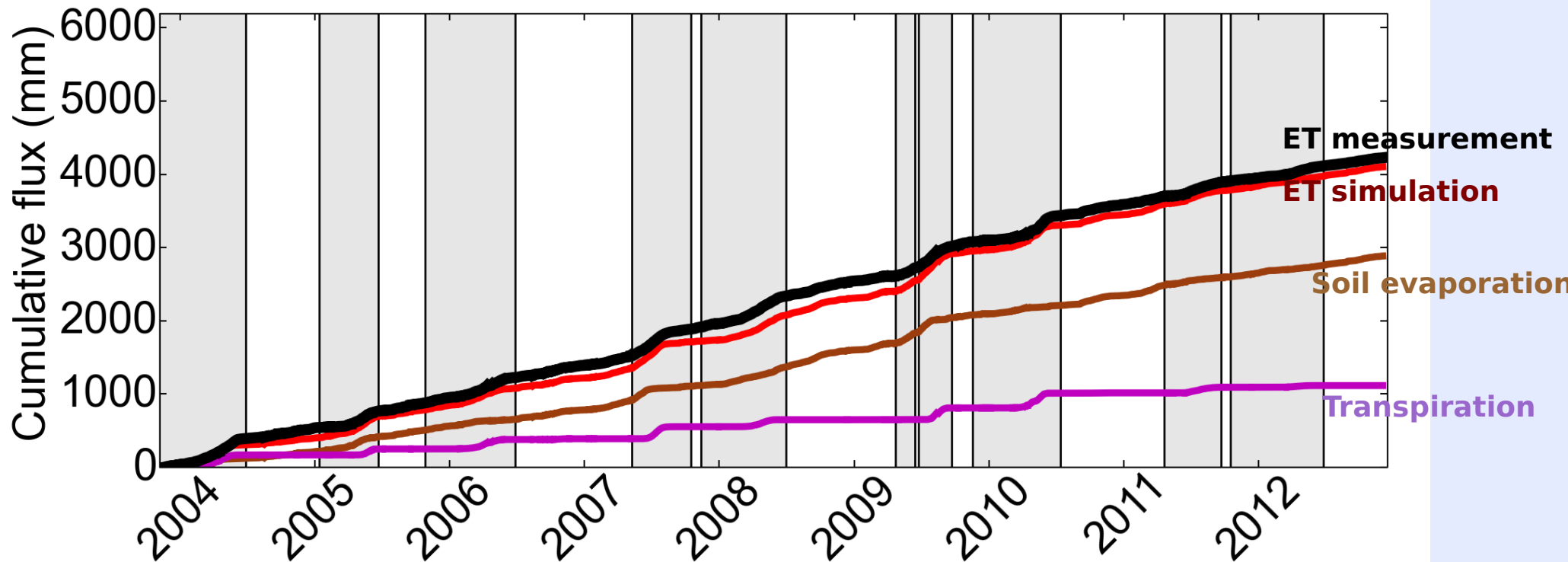
- **Soil evaporation** : lower value but steadier over the crop succession

- **Drainage** : intermediate values during autumn and winter rainy season

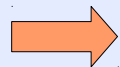
# *Influence of crop rotation on ET partitioning*

Crop

Inter-crop



**Soil evaporation represents 70 % of cumulative evapotranspiration over 9 years of crop succession**



**Soil evaporation main source of uncertainty in ET**

## **Q.2) What is the most influential source of uncertainties**

- **climate,**
- **vegetation dynamic,**
- **irrigation,**
- **soil parameters**

**on ET simulation over a crop succession ?**

**Garrigues et al., GMD, 2015**



# Experiment design

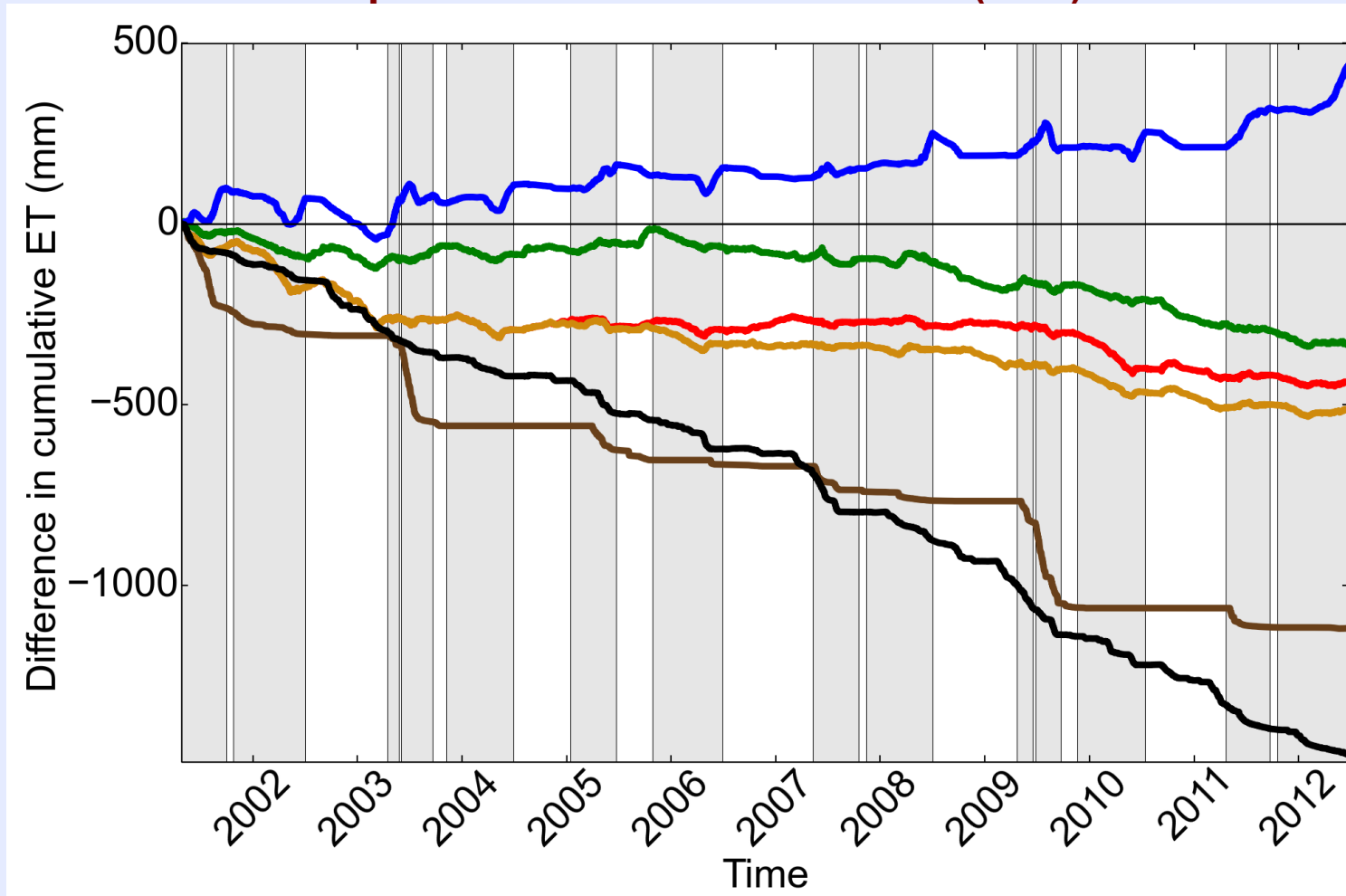
Experiments with local vs standard/large-scale drivers

Experiments	Climate	Vegetation	Soil parameters	Irrigation
CTL	Local	Local	Local	Local
SAFRAN	SAFRAN	Local	Local	Local
ERA-I	ERA-I+GPCC rainfall	Local	Local	Local
SAFRAN+MSG	SAFRAN+MSG radiation	Local	Local	Local
NO IRRIG	Local	Local	Local	No
LAI- ECOCLIMAP	Local	ECOCLIMAP climatology	Local	Local
PTF-SOIL	Local	Local	ISBA Pedotransfer	Local

# Sensitivity of ET to driver uncertainties

Difference in cumulative ET between each experiment and the control run (CTL)

Sources of uncertainties



Vegetation : LAI (6 %)

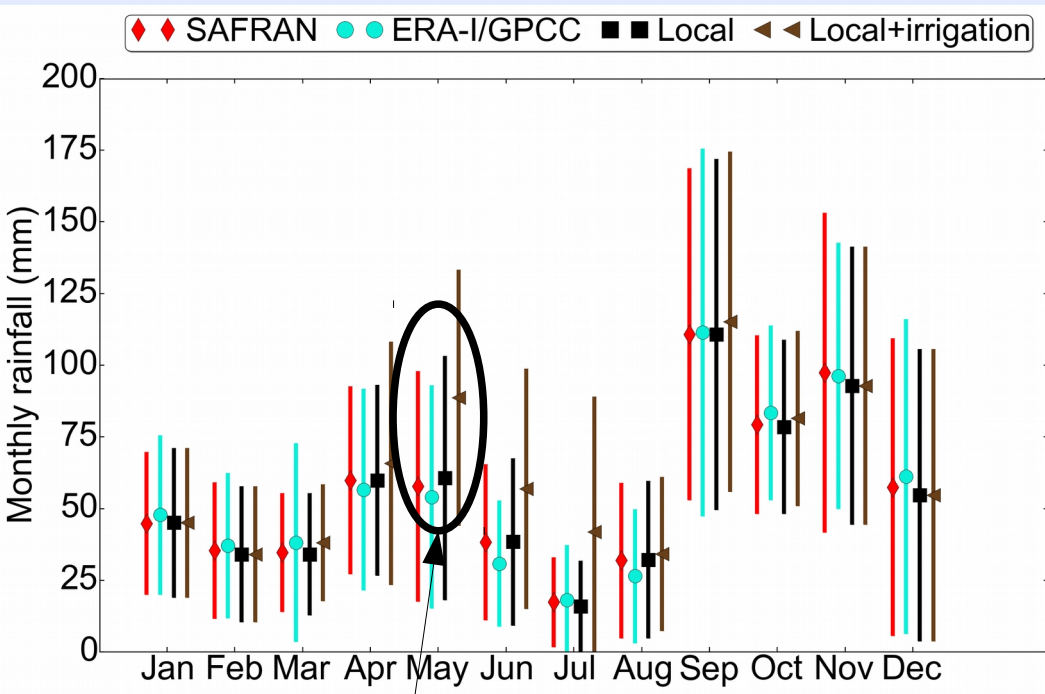
ERA-I  
SAFRAN  
SAFRAN+  
MSG } Climate (5-7%)

No Irrigation (15%)  
Soil parameters (20%)

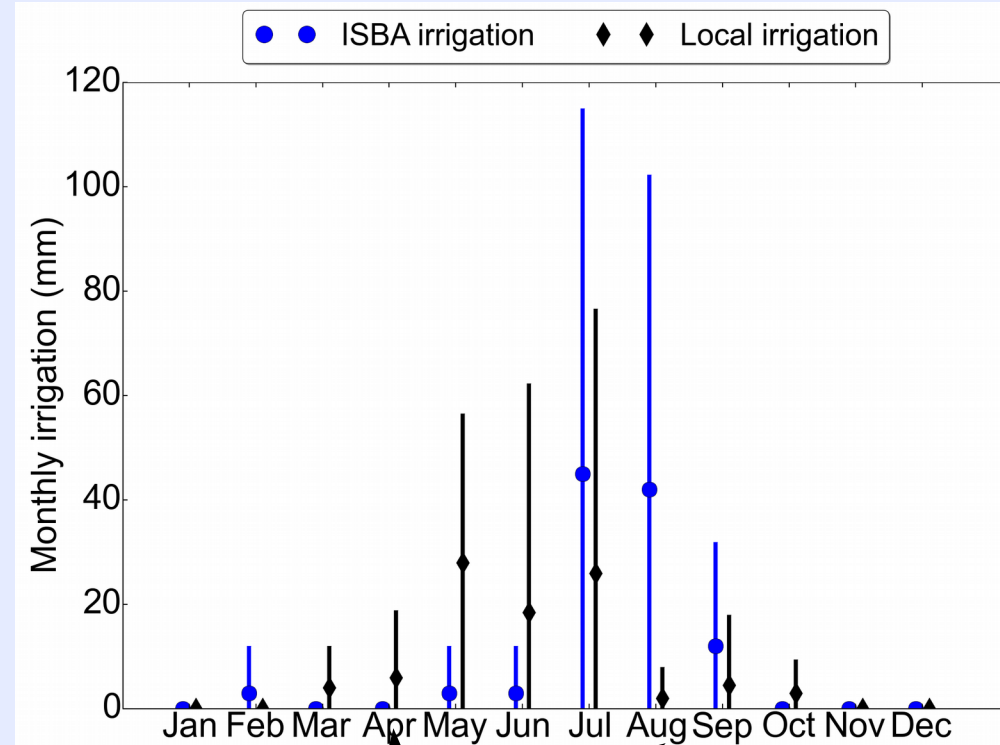


Errors in soil parameters and having no irrigation are the most influential drivers on ET

# Impact of uncertainties in irrigation



**Lack of irrigation generates larger variations than differences in rainfall between climate data sets**

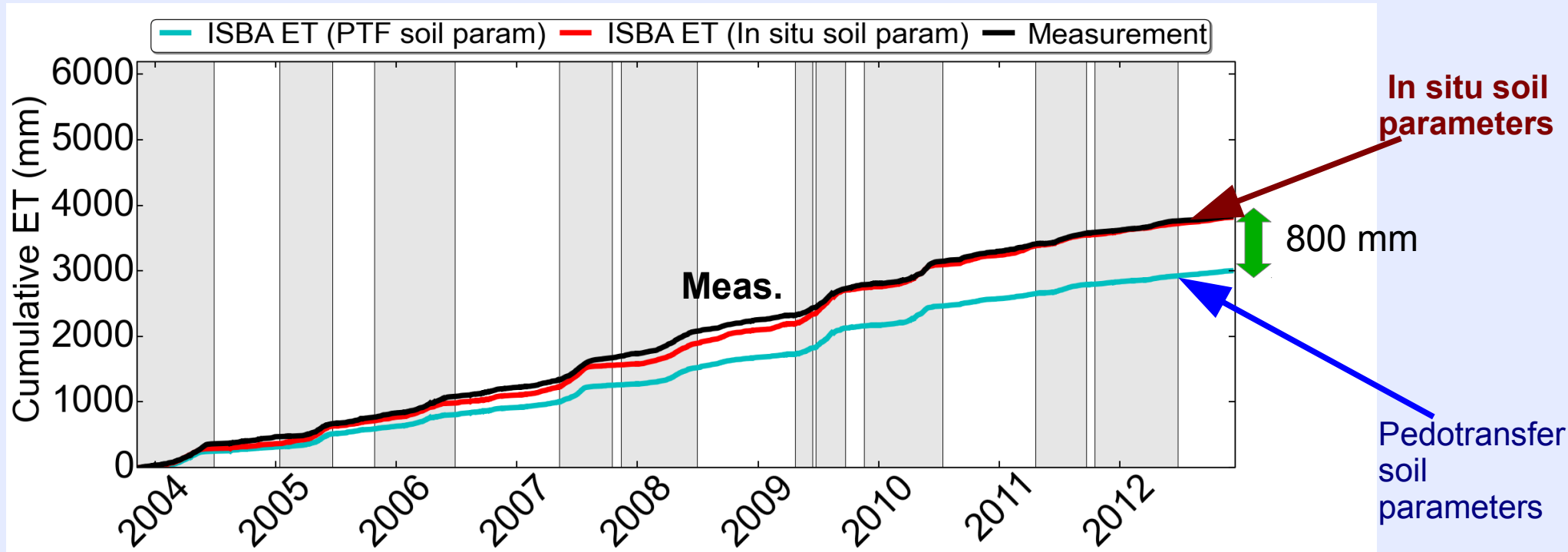


**Inaccurate timing of modeled irrigation**

- underestimation in early stage of the crop cycle
- overestimation during senescence

# Impact of errors in soil hydrodynamic parameters

Pedotransfer (PTF) versus in situ soil parameters (derived from soil moisture meas.)



- PTF parameters: ~800 mm deficit (20%) in cumulative ET over 9 years

- In situ soil parameters: bias reduced by 98 %

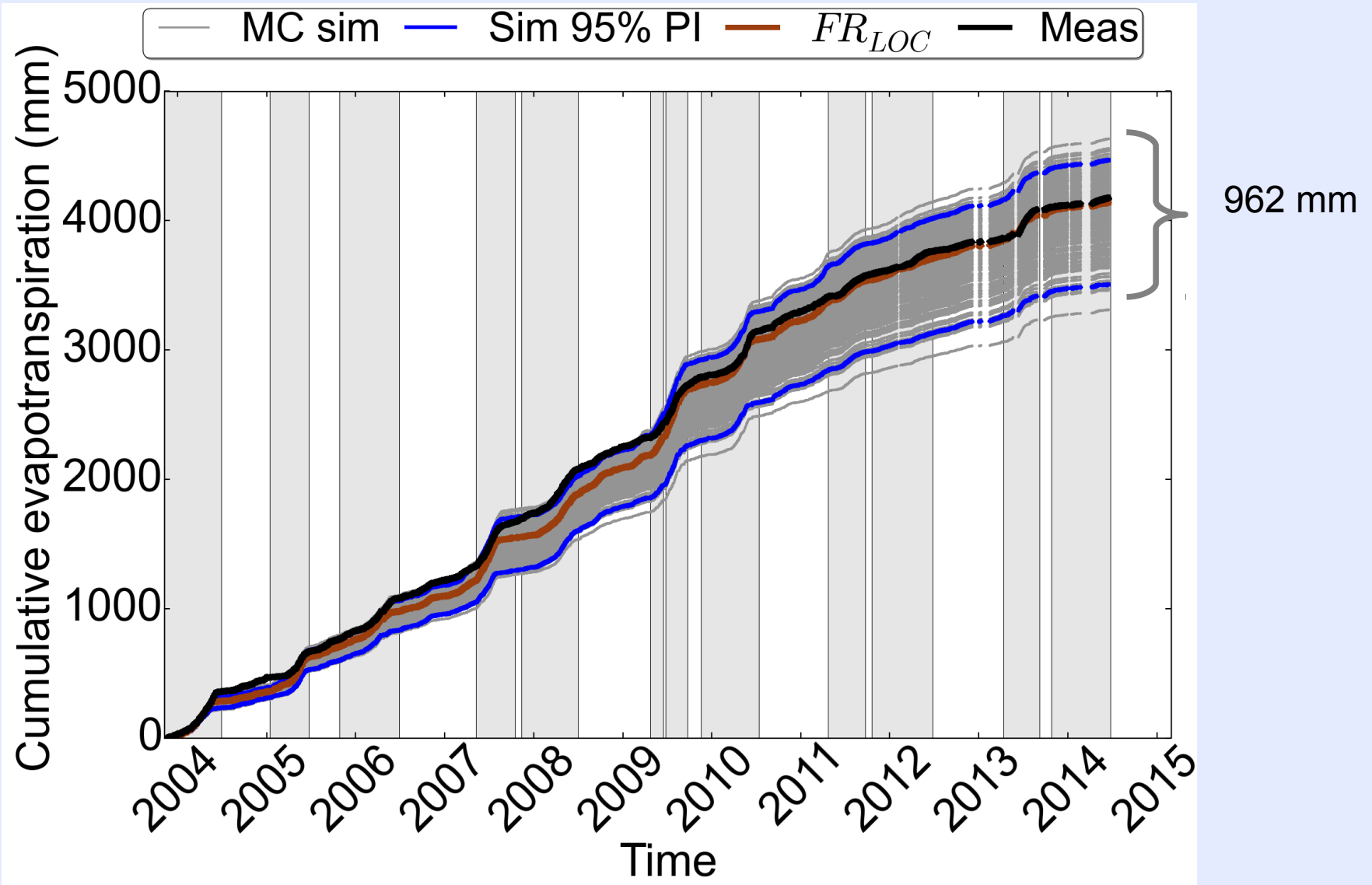
- Errors in :

- ◆ Available soil water content for the plant → plant transpiration
- ◆ Soil moisture at saturation and field capacity → soil evaporation

# Sensitivity to uncertainties in soil parameters

Monte-Carlo analysis

**FORCE-RESTORE**



### **Q.3) What are the impacts of**

- **errors in soil parameters,**
- **water transfer scheme: Force-Restore vs multi-layer soil diffusion scheme,**

**on ET simulation over a crop succession ?**

**Garrigues et al., HESS, 2015**

**Garrigues et al., JHM, 2017, under revision**

# Experiment design

## 4 Experiments derived using either :

- **Soil parameters: pedotransfer (PTF) vs local estimates**
- **Water transfer schemes: Force-Restore (FR) vs multi-layer soil diffusion (DIF)**

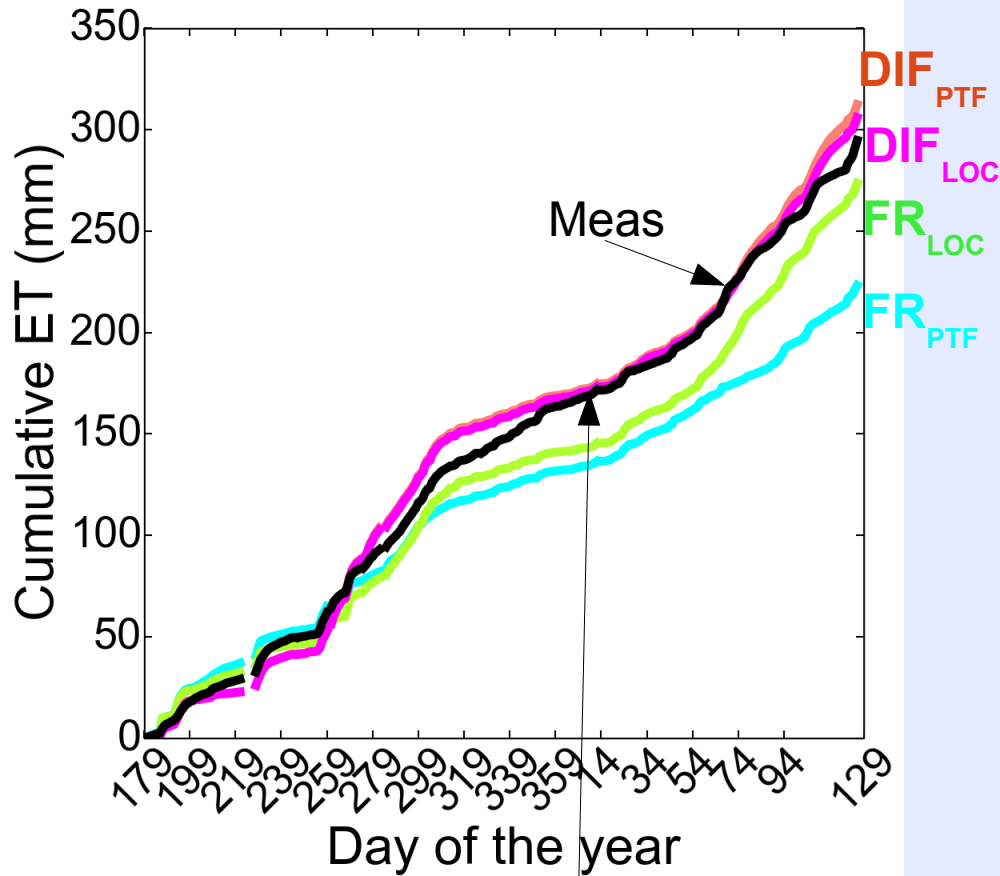
Experiments	model	Soil parameters
$FR_{PTF}$	Force-Restore	pedotransfer
$DIF_{PTF}$	Multi-layer soil diffusion	pedotransfer
$FR_{LOC}$	Force-restore	local
$DIF_{LOC}$	Multi-layer soil diffusion	local

Soil parameters driving ET uncertainties (Garrigues et al., 2015) :

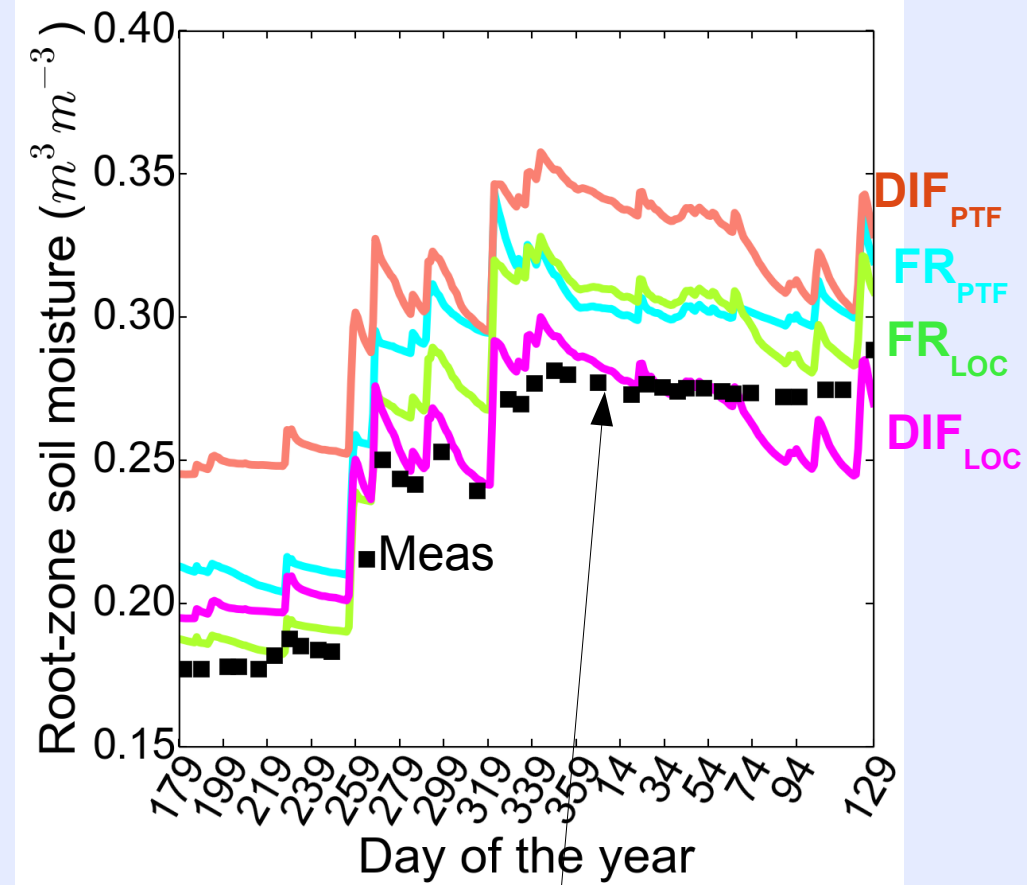
- **Soil moisture at saturation, field capacity, wilting point**
- **Rooting depth, root profile parameters**

# Evaluation over bare soil period

## Evapotranspiration



## Root-zone soil moisture



**DIF : Accurate simulation of soil evaporation**

**Accurate simulation of soil moisture**



## **5. CONCLUSIONS**

# Conclusions (1/2)

## → Impact of Mediterranean crop succession on ET dynamics:

- Soil evaporation is the main ET component
- Uncertainties mainly driven by soil evaporation parameters

## → Most influential sources of uncertainties on ET:

- **First order :**
  - x soil hydrodynamic parameters
  - x Irrigation
- **Second order:**
  - x vegetation dynamic
  - x climate.

## Conclusions (2/2)

### → Impact of errors in soil parameters and water transfer scheme

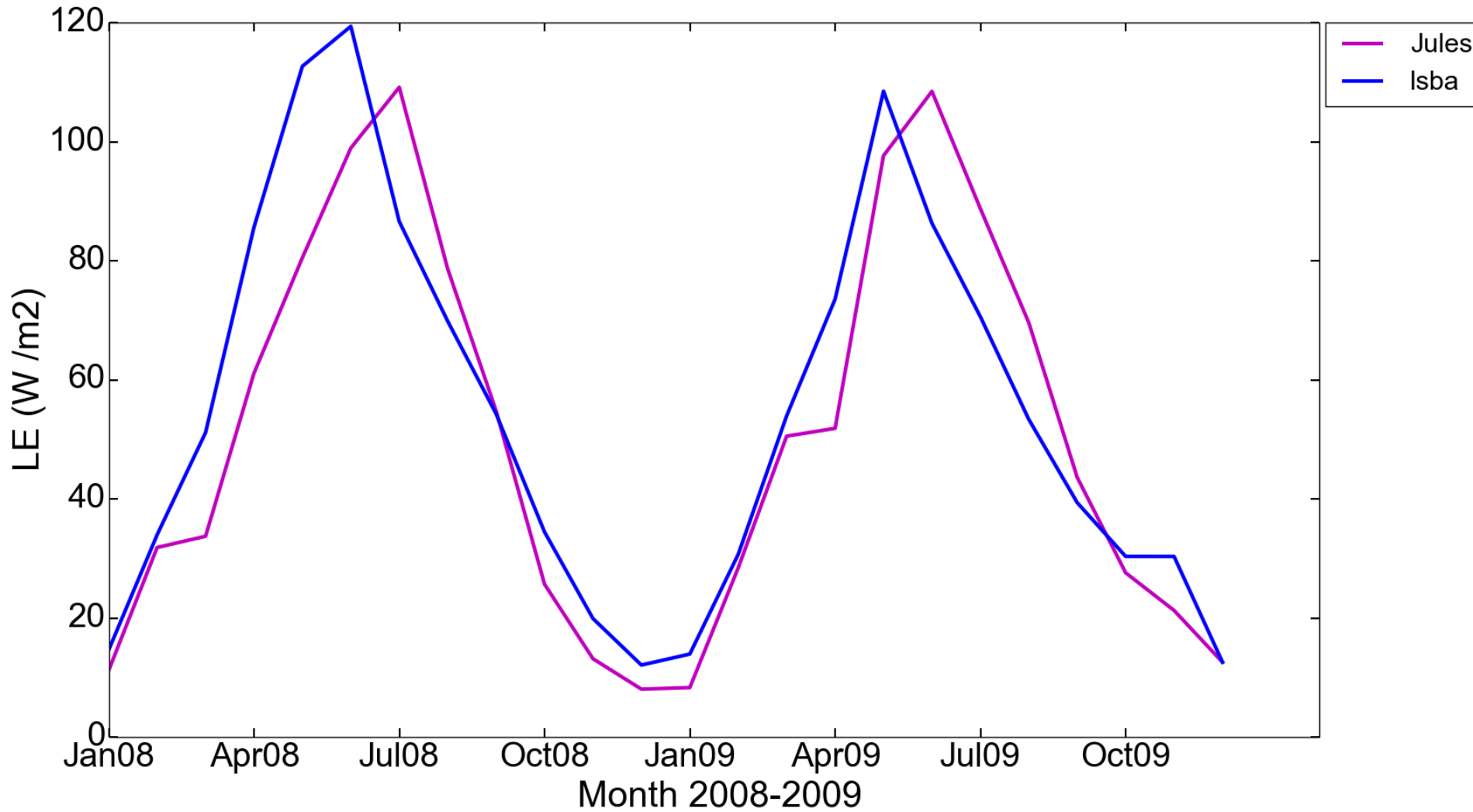
- Multi-layer soil diffusion scheme more robust to uncertainties in soil parameters
- Force-Restore easier to calibrate at local scale
- Soil evaporation
  - × DIF: accurate simulation of soil evaporation
  - × FR: highly sensitive to soil moisture at field capacity and saturation
- Transpiration
  - × DIF,FR: sensitive to available water content for the plant
  - × DIF: Influence of root-profile parametrization on simulation of water stress

## *Future work*

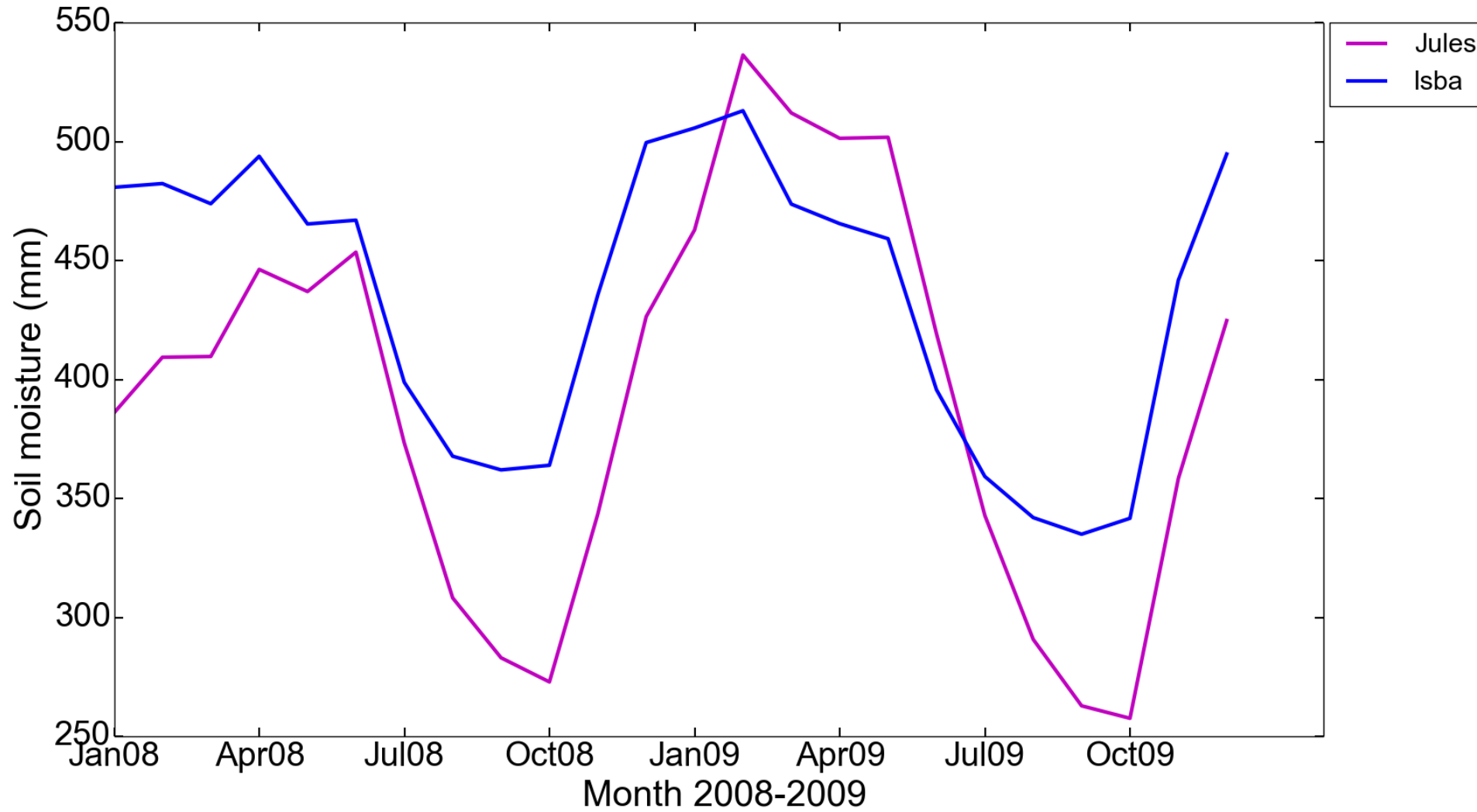
- **Evaluation of JULES-crop over the Avignon data set**
  - Jules irrigation module
  - Jules crop phenology
  - comparison with ISBA and STICS crop model as reference
  
- **Evaluation of water balance simulation over Europe**
  - ISBA, JULES and reanalysis products intercomparison
  - Impact of uncertainty in irrigation on water balance long-term evolution

# ISBA/JULES comparison over Europe

ISBA and JULES LE (W /m2) monthly time course for FRANCE

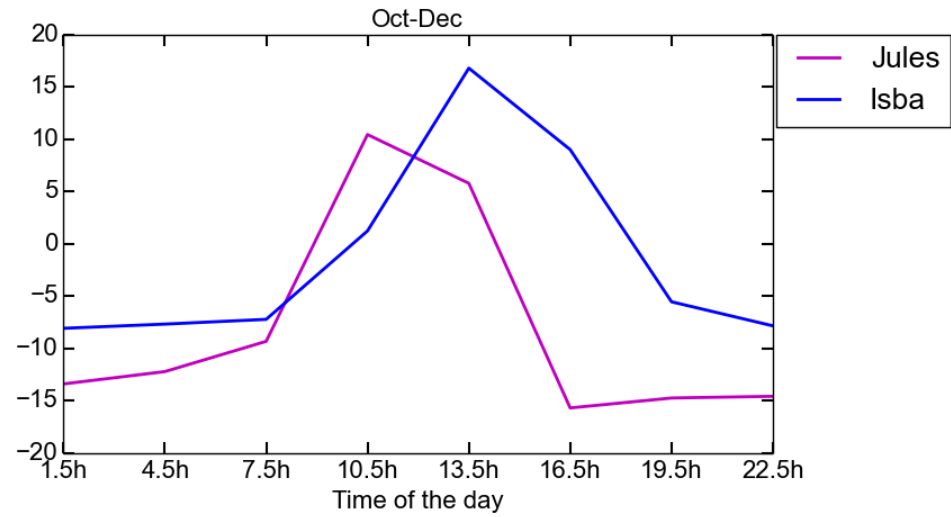
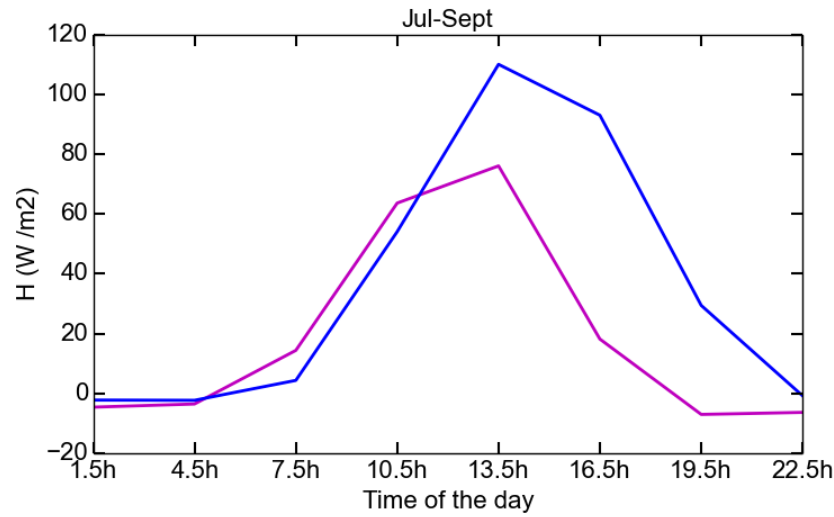
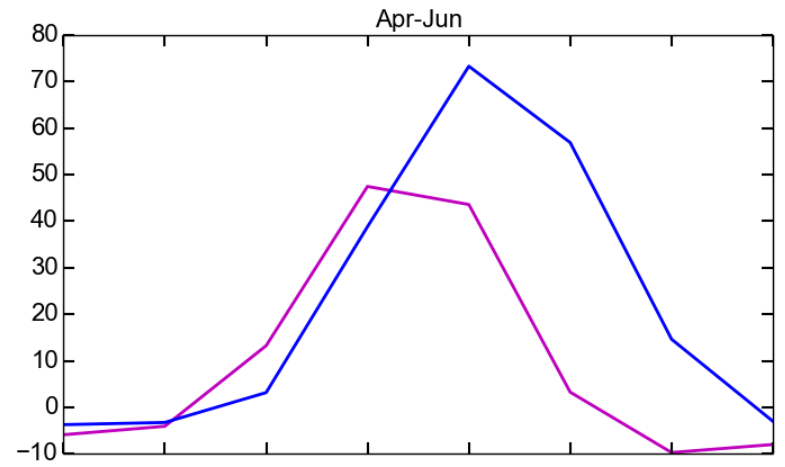
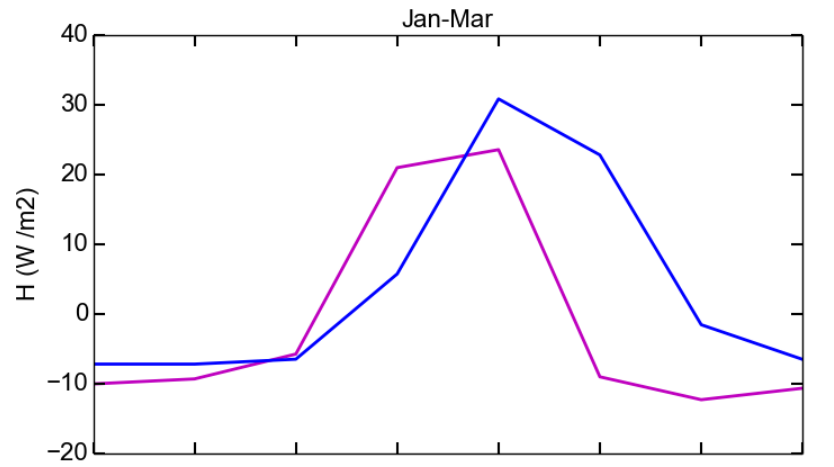


ISBA and JULES Soil moisture (mm) monthly time course for FRANCE



**Additional slides**

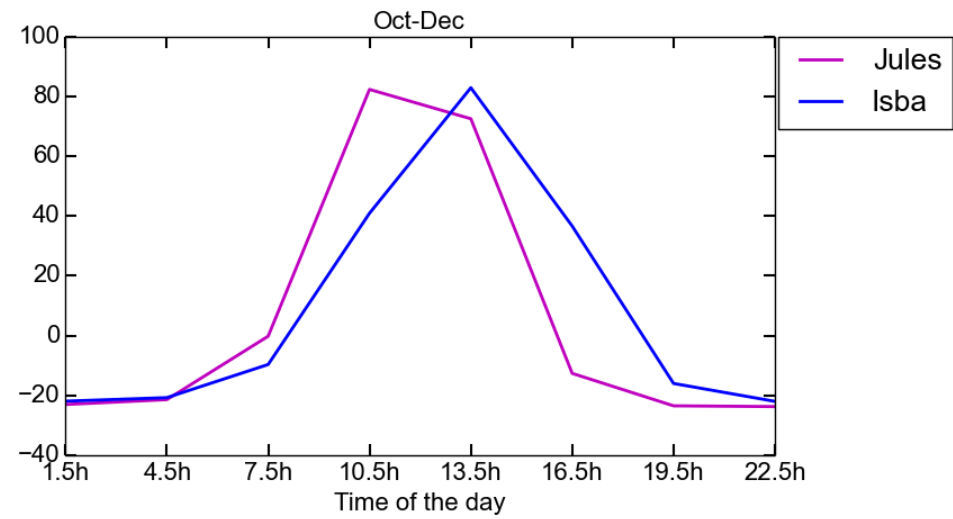
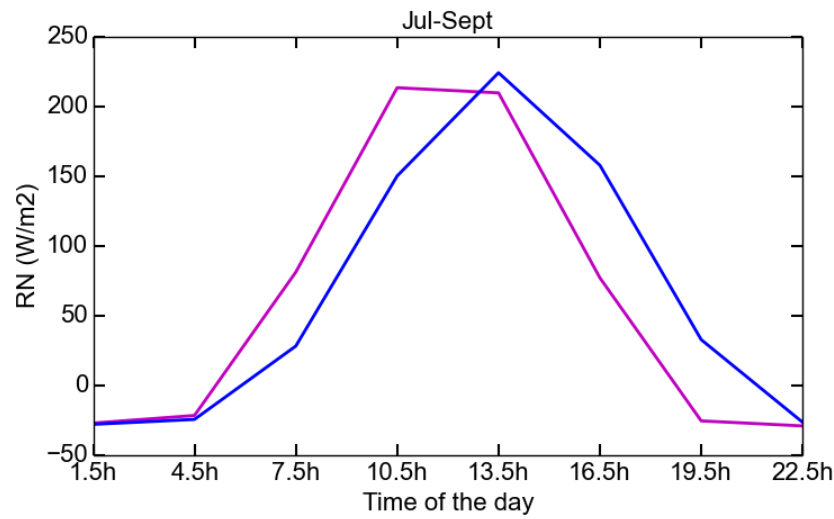
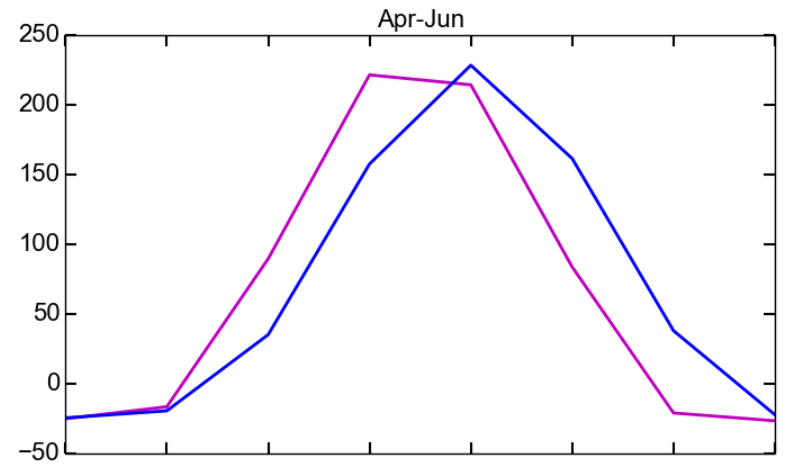
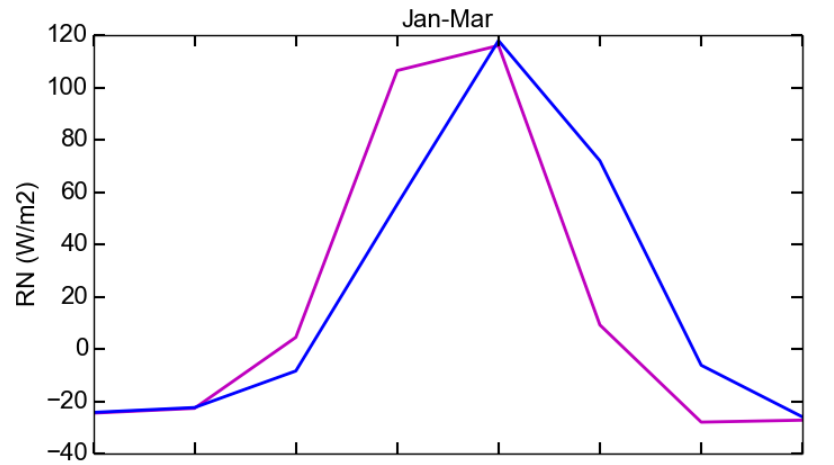
### Mean seasonal ISBA and JULES H (W /m2) in FRANCE



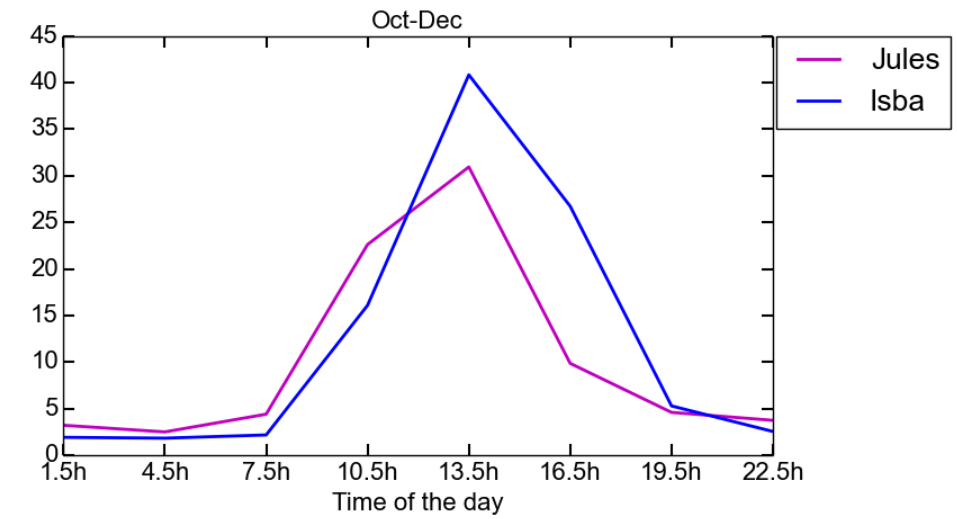
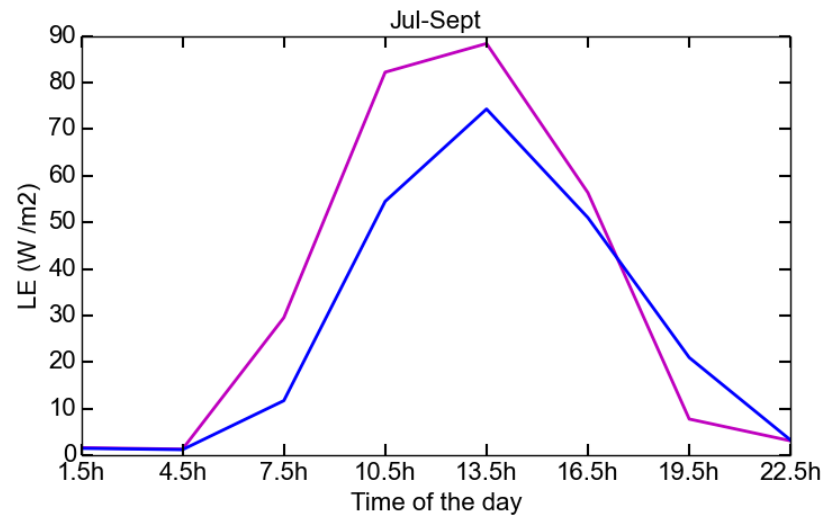
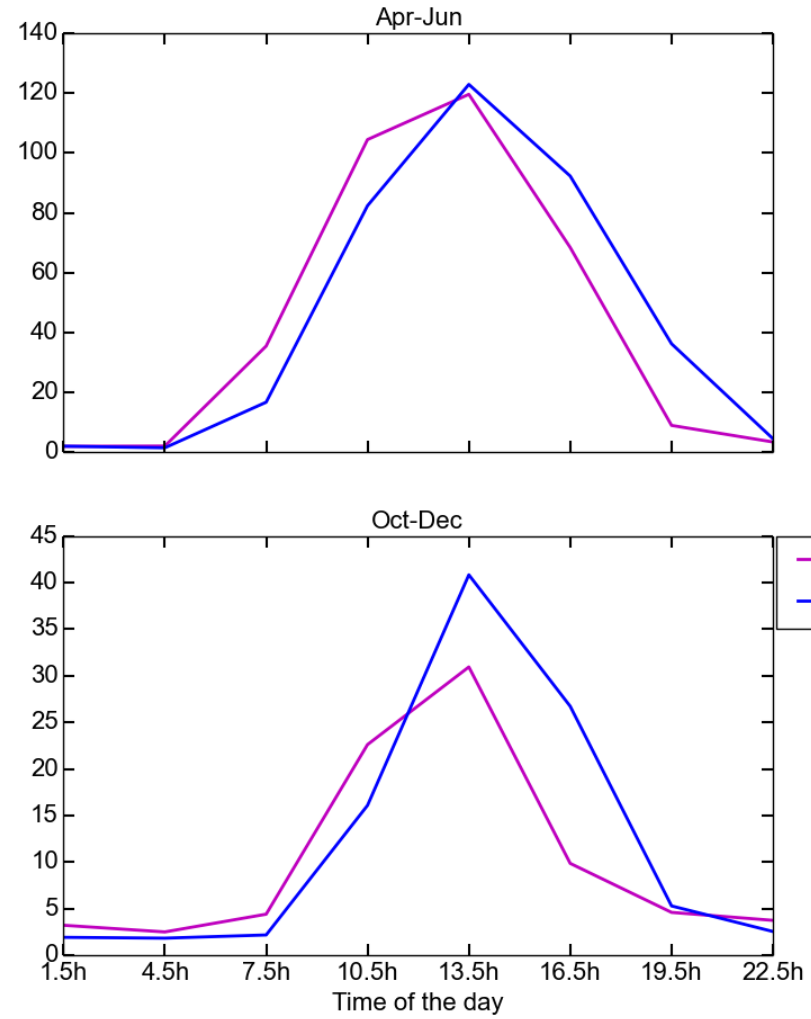
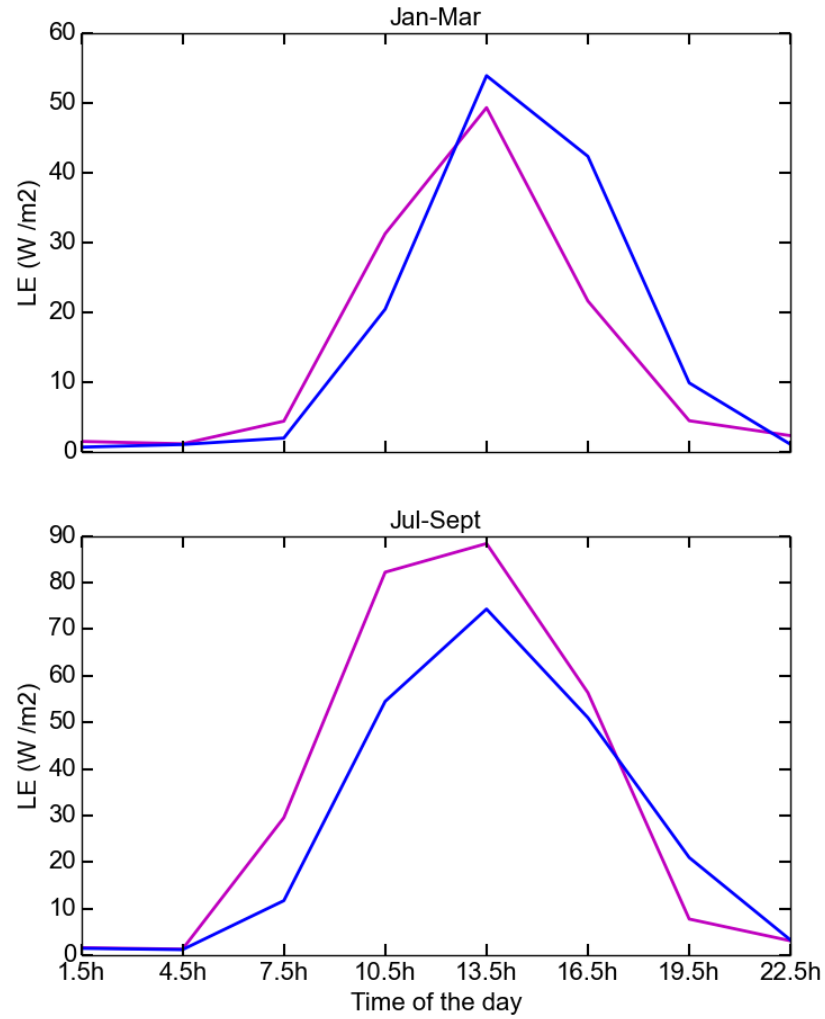
— Jules  
— Isba



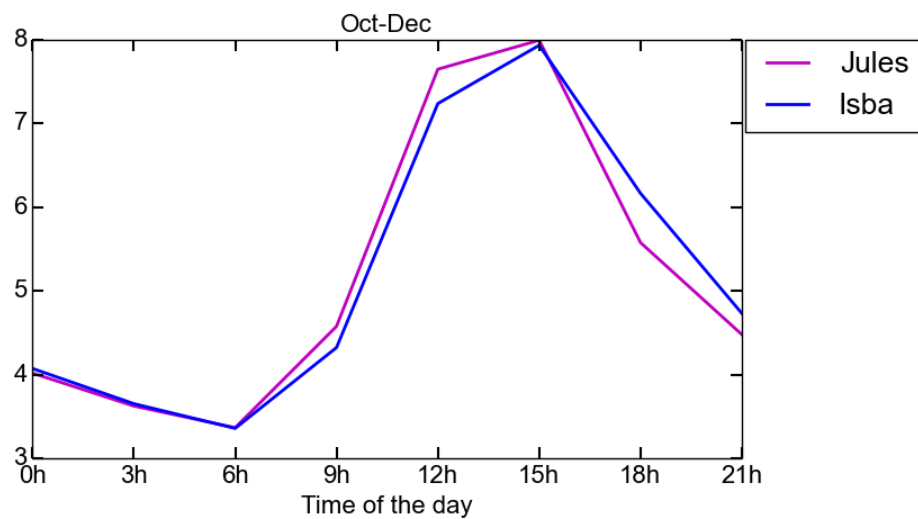
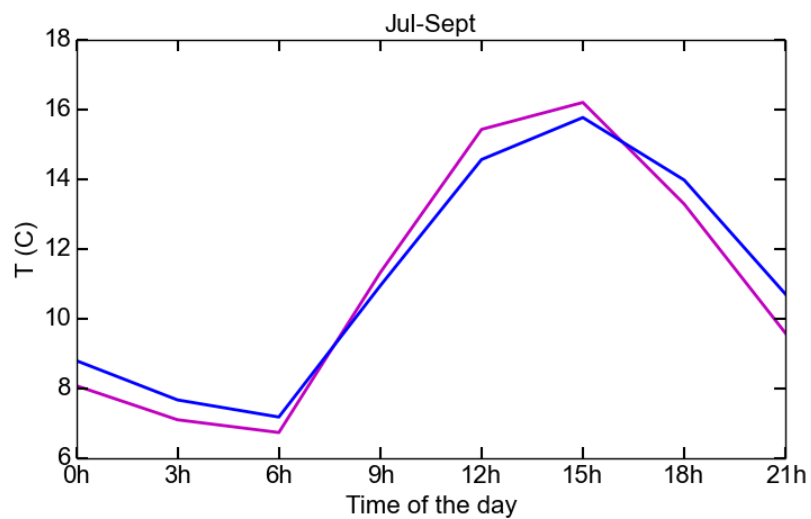
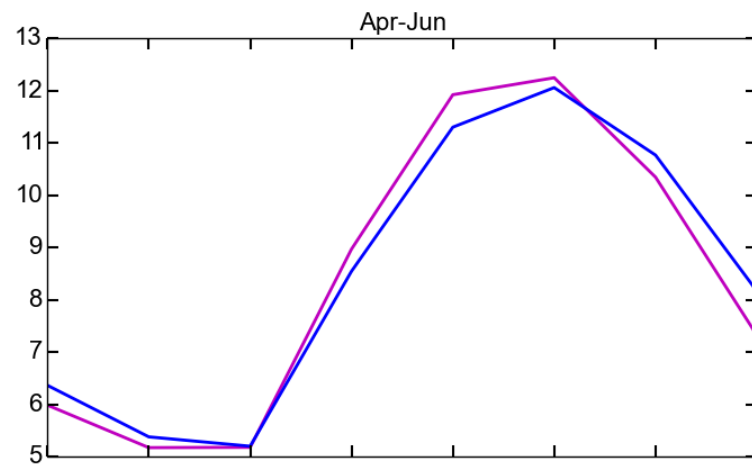
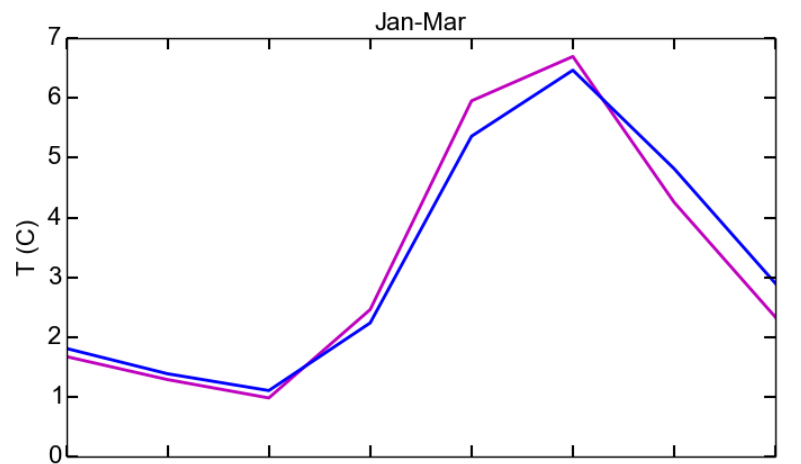
### Mean seasonal ISBA and JULES RN (W/m<sup>2</sup>) in FRANCE



# Mean seasonal ISBA and JULES LE (W /m<sup>2</sup>) in FRANCE



### Mean seasonal ISBA and JULES T (C) in FRANCE



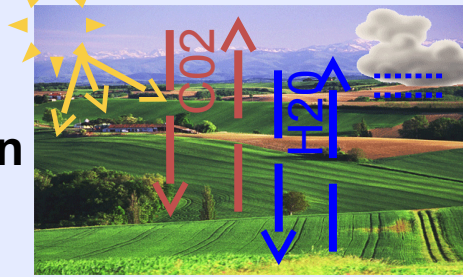
Jules  
Isba

# Introduction (1/3): climate change context

Likely increase in evaporative demand (rise in temperature and radiations)

Likely decrease in soil moisture availability (5 to 30 % decrease in rainfall)

Mediterranean



cropland

Changes in vegetation processes :

- stomatal conductance
- crop phenology

Adaptations of agricultural practices:

- irrigation calendar
- early sowing date
- Intermediate crop in winter

Modifications of long-term dynamics of evapotranspiration (ET)

How improving the representation of ET in land surface models ?

# Introduction (2/3)

## Sources of uncertainties in modelled ET

- **Representation of crop phenology**
  - Emergence date
  - Winter/summer crops
- **Water stress :**
  - type of stress function
  - Implementation in the A-gs model
- **Energy budget :**
  - **single source vs dual source**
  - **heterogeneous crops**
- **Soil water transfer**
  - **Force-restore vs Multi-layer soil diffusion scheme**
  - **Hydraulic parameters**
  - **spatial distribution**
- **Irrigation:**
  - timing
  - variability of practices

# *Force-restore model*

→ **Bulk reservoir scheme** with 2 or 3 reservoirs

→ **Force-restore approach from Deardorff (1977):**

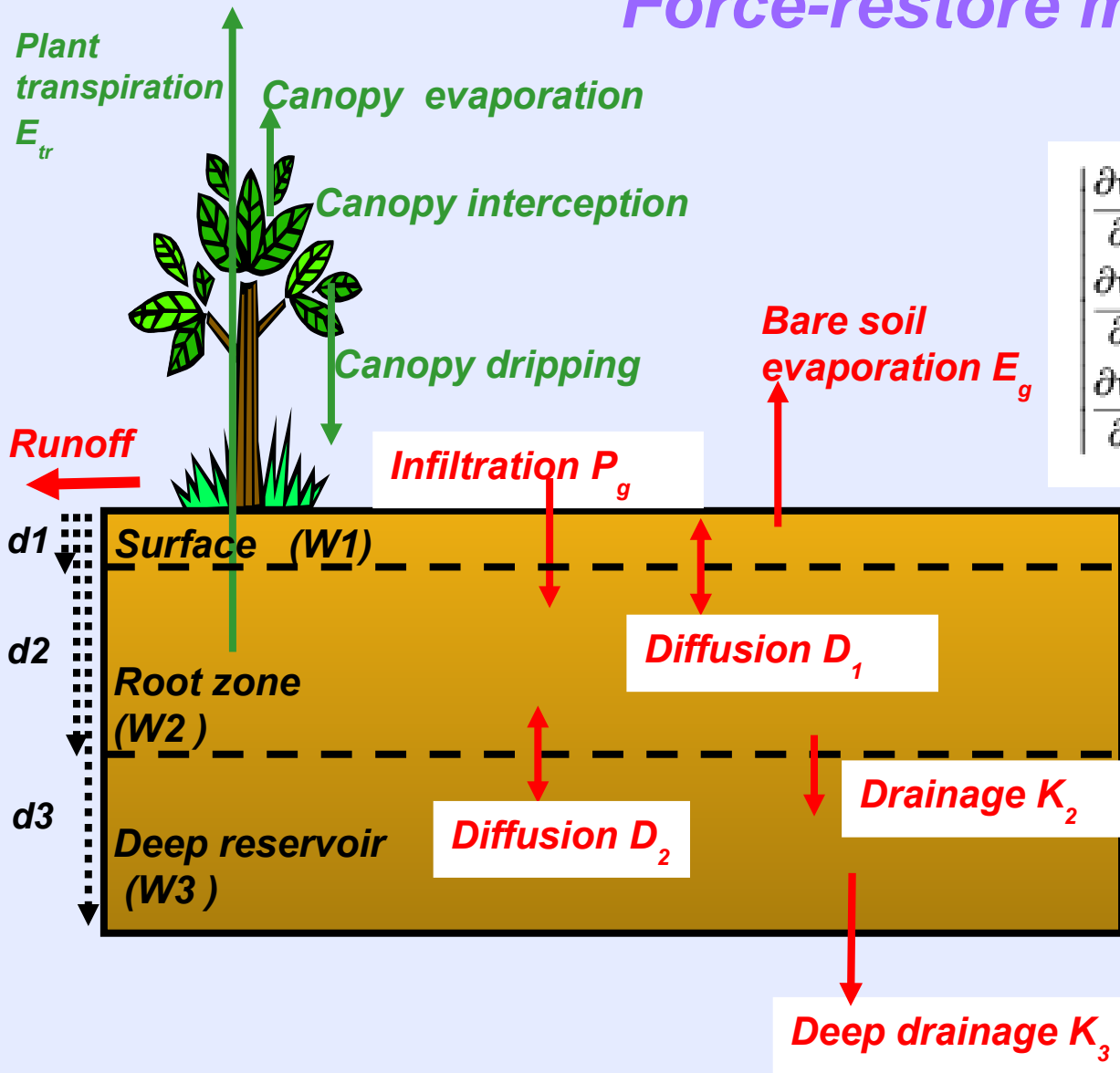
- Based on by Bhumralkar (1975) and Blackadar (1976) approach for heat transfer
- the superficial soil moisture content is forced by the soil evaporation minus precipitation and restored toward the total moisture content of the soil reservoir.

→ Water transfers simulated according to **moisture content gradient**

→ Main assumption: **homogeneous soil profile**

→ **Few parameters**: advantage for coupling with atmospheric models

# Force-restore model



$$\begin{cases} \frac{\partial w_1}{\partial t} = \frac{C_1}{\rho_w d_1} (P_g - E_g) - \frac{C_2}{\tau} (w_1 - w_{eq}) & \forall w_1 \leq w_{sat} \\ \frac{\partial w_2}{\partial t} = \frac{1}{\rho_w d_2} (P_g - E_g - E_{tr}) - K_2 - D_2 & \forall w_2 \leq w_{sat} \\ \frac{\partial w_3}{\partial t} = \frac{d_2}{(d_3 - d_2)} (K_2 + D_2) - K_3 & \forall w_3 \leq w_{sat} \end{cases}$$

# Multi-layer soil diffusion model

→ **Multi-layer (N)** soil discretization

→ Explicit representation of **mass-diffusive equations** (Richard's equation)

$$\frac{\partial w_i}{\partial t} = -\frac{\partial q(z)}{\partial z} \Leftrightarrow \frac{\partial w_i}{\partial t} = -\frac{\partial}{\partial z} \left[ k \left( \frac{\partial \psi}{\partial z} + 1 \right) \right]$$

Soil moisture time course

hydraulic conductivity

Matric potential

→ Representation of **soil vertical heterogeneity**

- Vertical **gradient in soil texture and soil texture**: impact on evaporation and infiltration
- Account for **upward diffusion** from shallow **water table** : impact on soil evaporation
- **Root profile**: improve the representation of the plant response to soil water stress



# Multi-layer soil diffusion model

$$\left| \frac{\partial w_i}{\partial t} = \frac{1}{\Delta z_i} \left[ F_{i-i} - F_i + \frac{S_i}{\rho_w} \right] \right. \quad \text{with} \quad F_i = \bar{k}_i \left( \frac{\psi_i - \psi_{i+1}}{\Delta \bar{z}_i} + 1 \right) + \bar{v}_i \left( \frac{\psi_i - \psi_{i+1}}{\Delta \bar{z}_i} \right),$$

Source/sink term

Matric potential gradient between 2 layers

Mean hydraulic conductivity

Mean isothermal vapor conductivity

Layer width

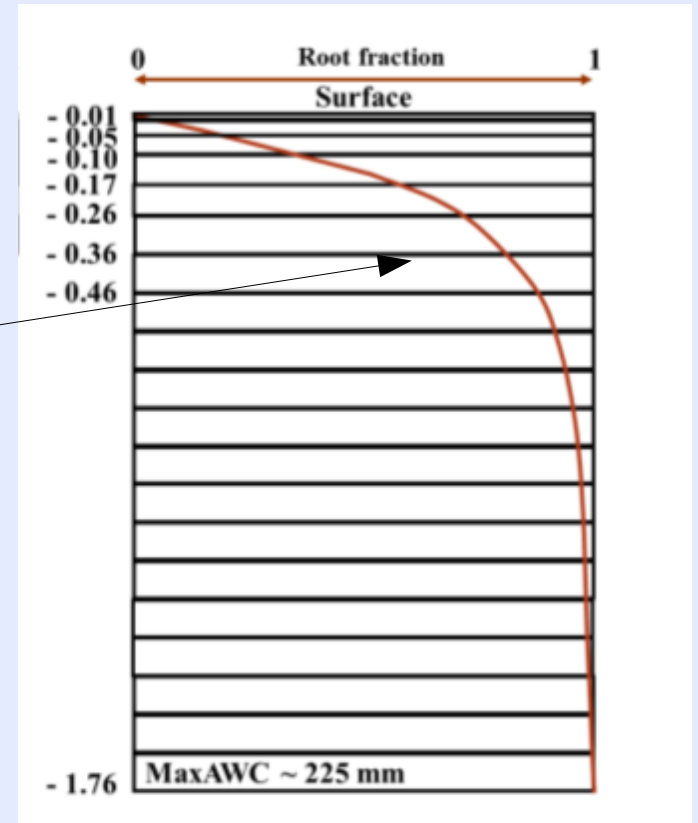
Soil moisture tendency

Root profile :  
e.g. exponential model from  
Jackson et al. model (1996)

$$Y(d_k) = (1 - R_e^{100 \times d_k}) / (1 - R_e^{100 \times d_R}),$$

Root extinction coefficient

Cumulative root fraction between surface and depth  $d_k$



# Soil hydraulic characteristics

→ Soil water-retention curve and soil water conductivity curve: van Genuchten, (1980); Brooks and Corey. (1966)

e.g. Brooks and Corey, 1966 (residual soil moisture=0)

Slope of the water-retention curve

$$\psi(w) = \psi_{sat} \left( \frac{w}{w_{sat}} \right)^{-b} \quad \text{and} \quad k(\psi) = k_{sat} \left( \frac{\psi}{\psi_{sat}} \right)^{-\frac{2b+3}{b}}$$

Matric potential at saturation      Soil moisture at saturation      Hydraulic conductivity at saturation

→ Model coefficients and hydraulic properties estimated using **pedotransfer functions (PTF)** of soil texture

e.g ISBA: continuous relationships derived from the Brooks and Corey. (1966) model and the Clapp and Hornberger (1978) parameters

# Multi-layer soil diffusion model

→ Multi-layer soil discretization

→ Explicit solve mass-diffusive equations (Darcy's law and Richard's equation)

→ Representation of soil vertical heterogeneity

- Vertical gradient in soil texture and soil texture: impact on evaporation and infiltration
- Root profile: improve the representation of the plant response to soil water stress

The diagram shows the governing equation for soil moisture change in a layer, with arrows pointing to specific terms and their physical meanings:

$$\frac{\partial w_i}{\partial t} = \frac{1}{\Delta z_i} \left[ F_{i-1} - F_i + \frac{S_i}{\rho_w} \right] \quad \text{with} \quad F_i = \bar{k}_i \left( \frac{\psi_i - \psi_{i+1}}{\Delta \bar{z}_i} + 1 \right) + \bar{v}_i \left( \frac{\psi_i - \psi_{i+1}}{\Delta \bar{z}_i} \right),$$

Annotations:

- Source/sink term: points to  $\frac{S_i}{\rho_w}$
- Matric potential gradient between 2 layers: points to  $\frac{\psi_i - \psi_{i+1}}{\Delta \bar{z}_i}$
- Soil moisture tendency: points to  $\frac{\partial w_i}{\partial t}$
- Layer width: points to  $\Delta z_i$
- Mean hydraulic conductivity: points to  $\bar{k}_i$
- Mean isothermal vapor conductivity: points to  $\bar{v}_i$

# Experiment design

## → **Control run** (CTL):

- Local climate
- Local LAI
- Local soil parameters (FC, WP, SAT) derived from soil moisture measurements
- Irrigation added to rainfall

## → **7 Experiments derived from CTL** by replacing local values by :

### • **Climate** :

- SAFRAN reanalysis (8km, 1-h)
- ERA-I/GPCC reanalysis (0.5°, 3-h)
- SAFRAN&MSG radiations (3 km, 0.5 h)

### • **Irrigation**

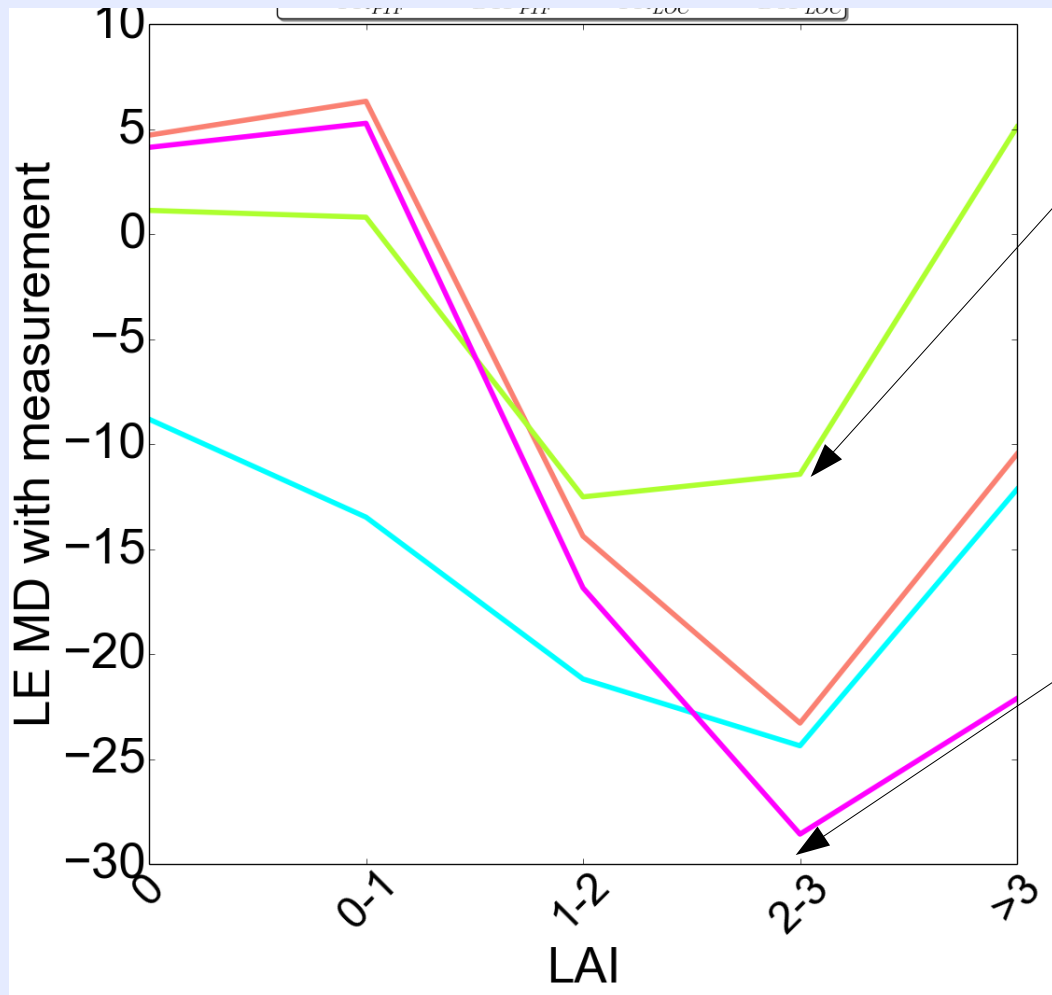
- No irrigation
- Simulated irrigation

- **ECOCLIMAP-II LAI** : monthly climatology derived from MODIS data (Faroux et al, 2013)

- **Soil parameters** : derived from ISBA pedotransfer functions using soil texture

# ET performances for different LAI ranges

$FR_{PTF}$   $DIF_{PTF}$   $FR_{LOC}$   $DIF_{LOC}$



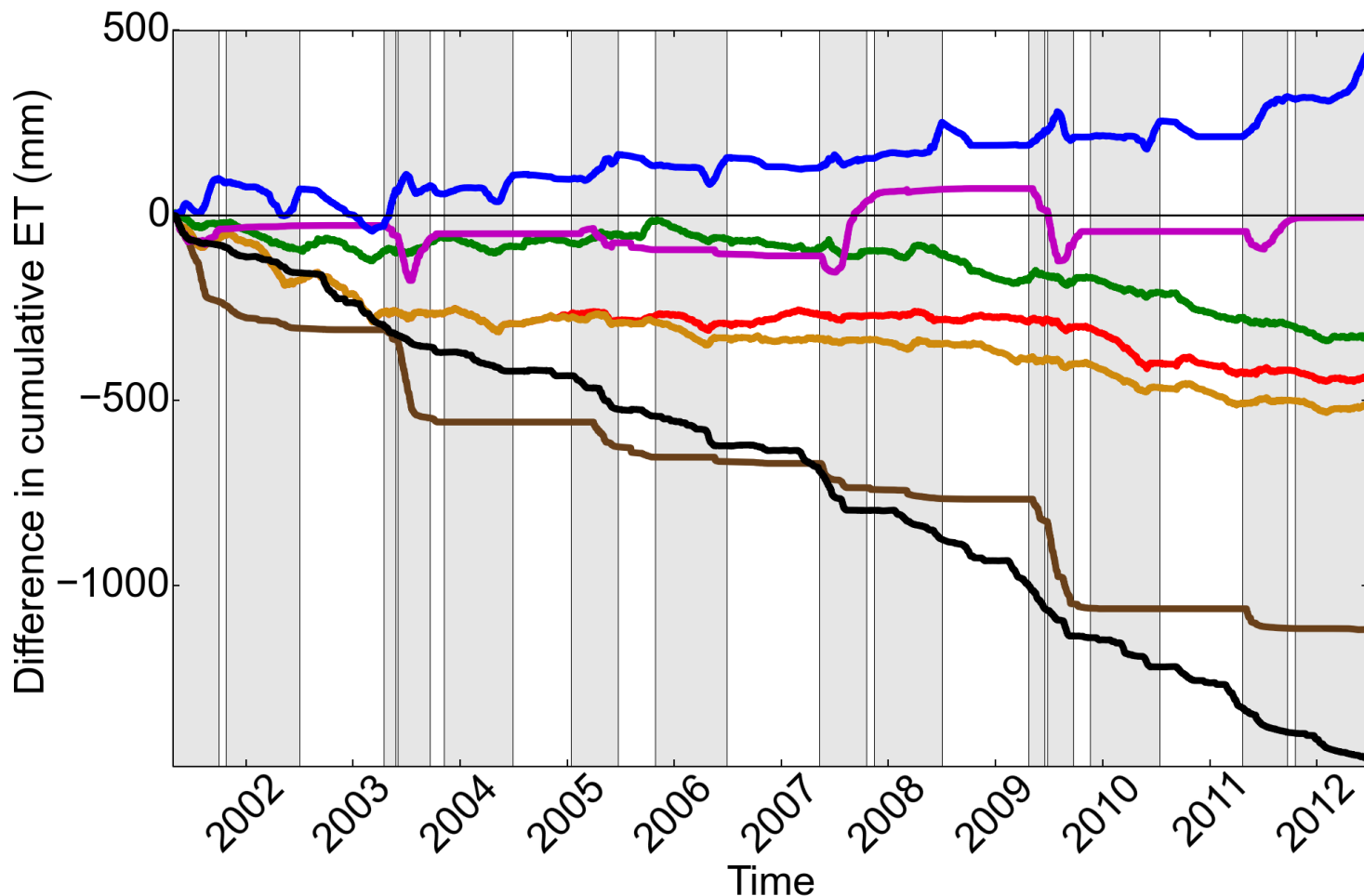
**FORCE-RESTORE** : **strong reduction of the bias at large LAI** in response to the use of more accurate estimate of the soil water content reservoir available for the crop.

**DIFFUSION SCHEME** : large bias despite the use of the proper water content reservoir

# Results

**Difference in cumulative ET between each experiment and the control run (CTL)**

Sources of uncertainties



LAI (6 %)

Modeled Irrig (0 %)

ERA-I

SAFRAN

SAFRAN+

MSG

} Climate (5-7%)

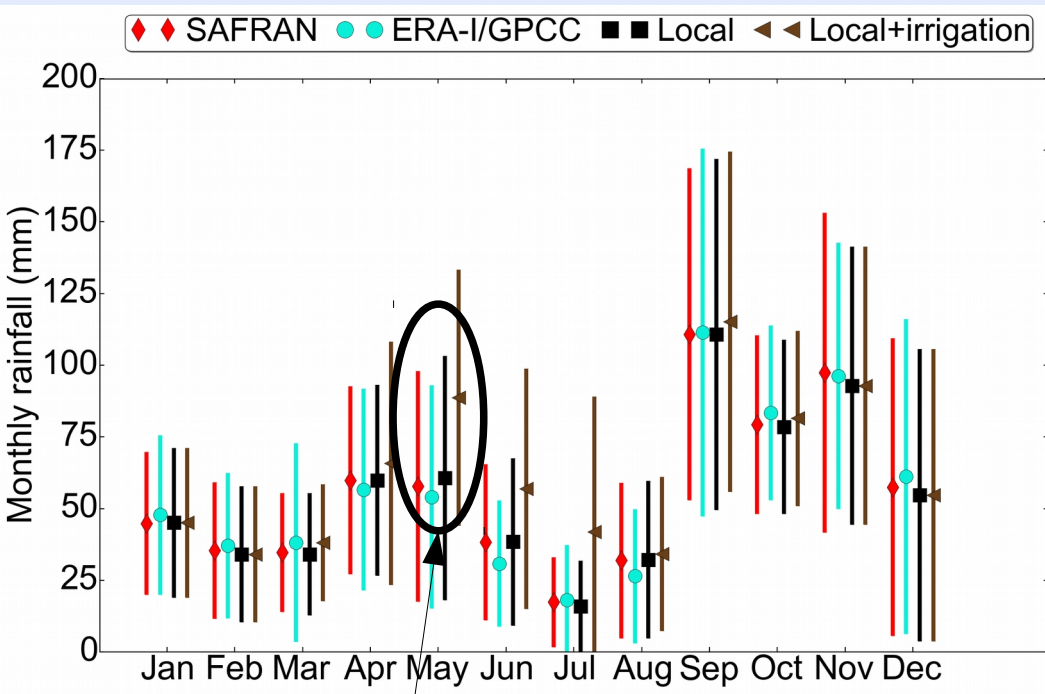
No Irrigation (15%)

Soil parameters (20%)

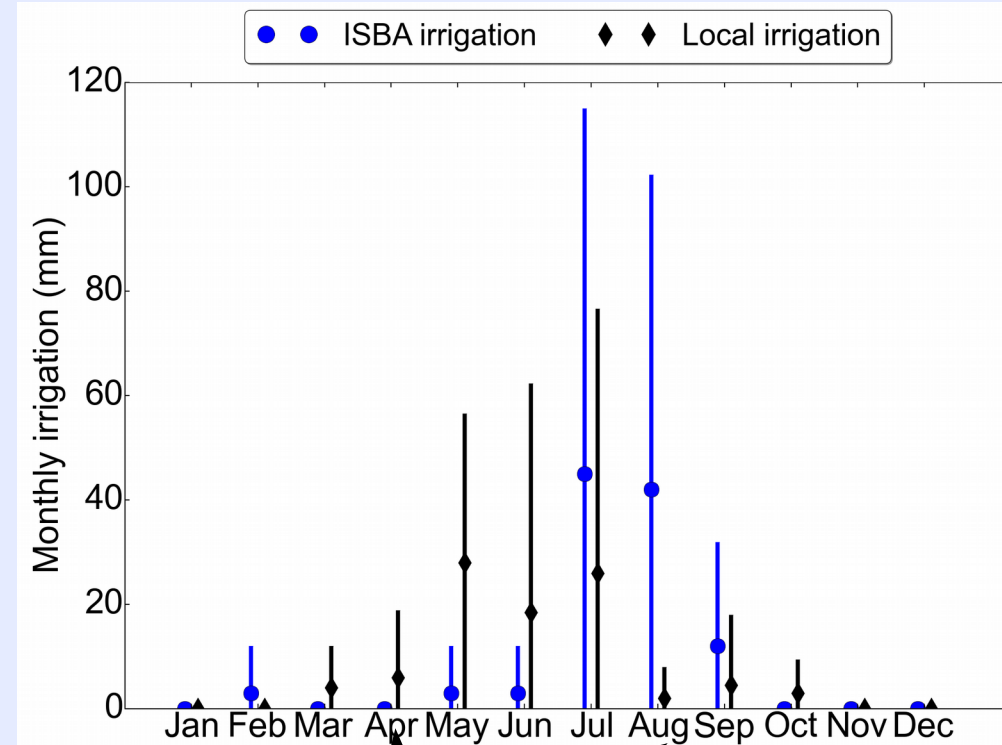


**Errors in soil parameters and having no irrigation are the most influential drivers on ET**

# Impact of uncertainties in irrigation



**Lack of irrigation generates larger variations than differences in rainfall between climate data sets**



**Inaccurate timing of modeled irrigation**

- underestimation in early stage of the crop cycle
- overestimation during senescence

# Introduction (2/3)

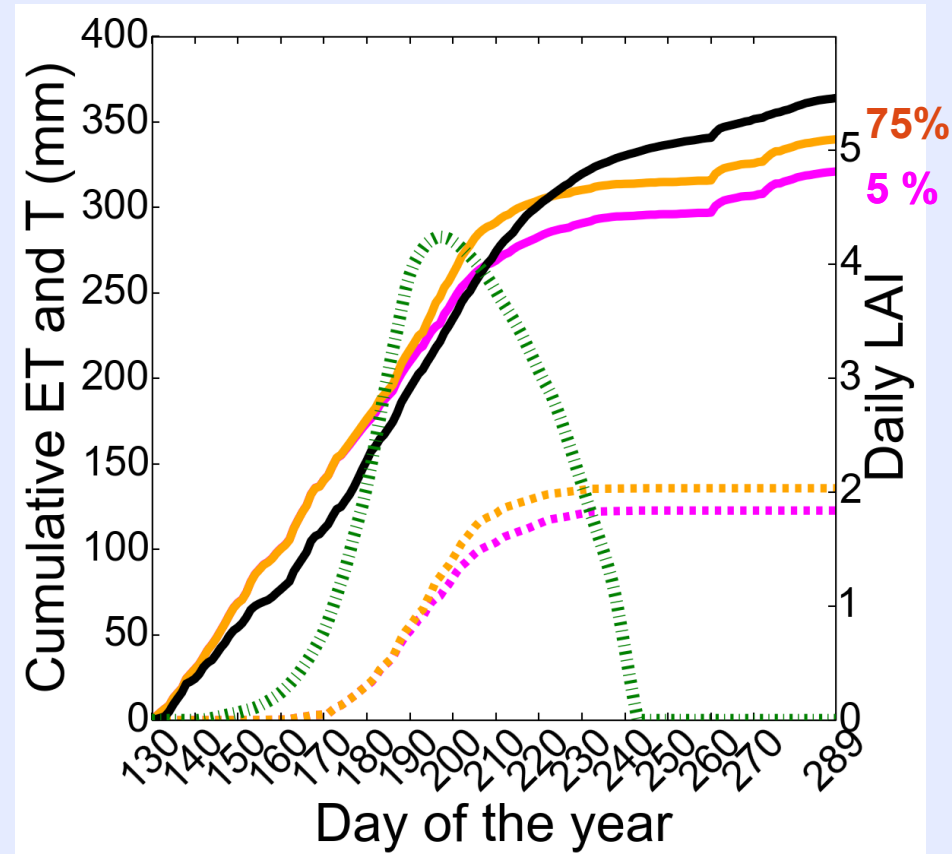
## Sources of uncertainties in modelled ET

- **Representation of crop phenology**
  - emergence date
  - winter/summer crops
- **Water stress** :
  - stress function
  - implementation in the A-gs model
- **Energy budget** :
  - **sparse vegetation**
  - **single source vs dual source**
- **Soil water transfer**
  - **Force-restore vs Multi-layer soil diffusion scheme**
  - **spatial distribution of hydraulic parameters**
- **Irrigation**:
  - timing
  - variability of practices

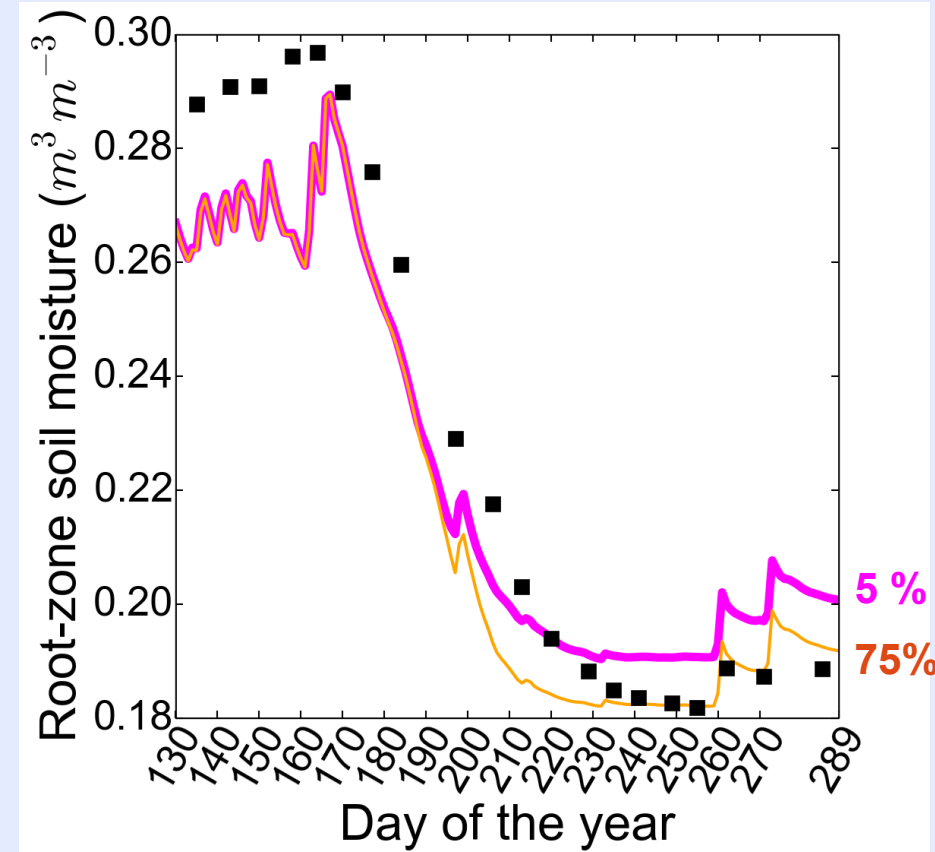


# Impact of exponential vs homogeneous root distribution

## Evapotranspiration



## Root-zone soil moisture

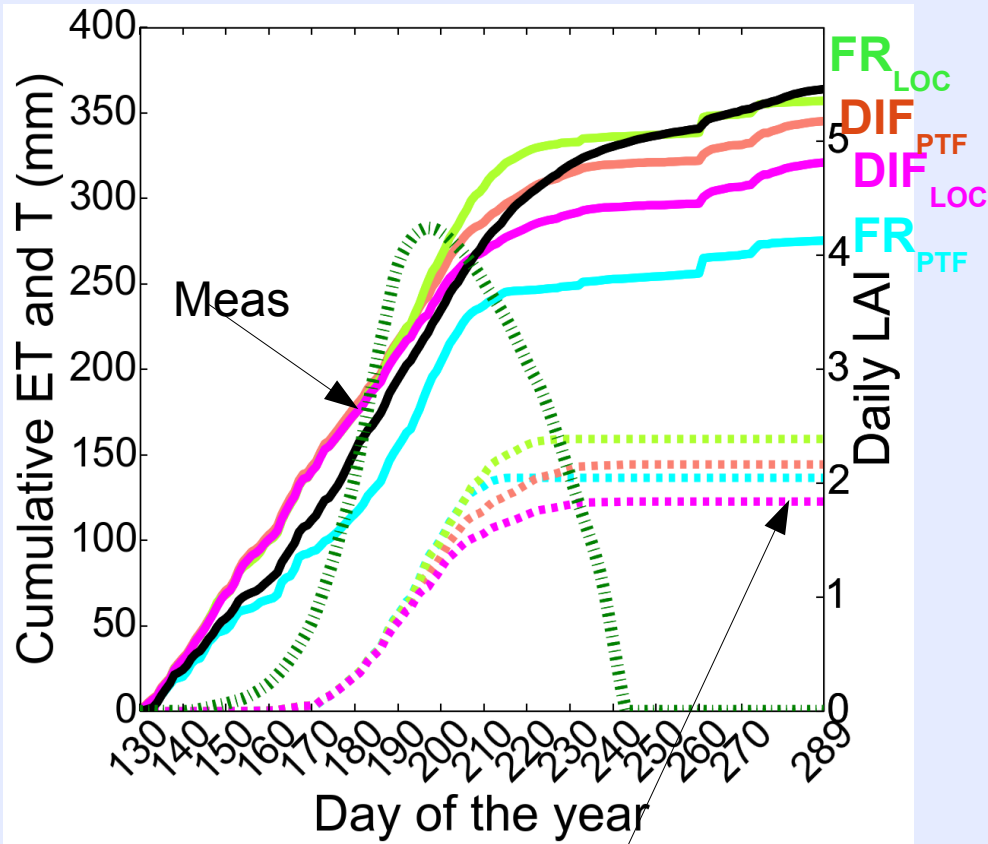


Slight impact of root-profile parametrization

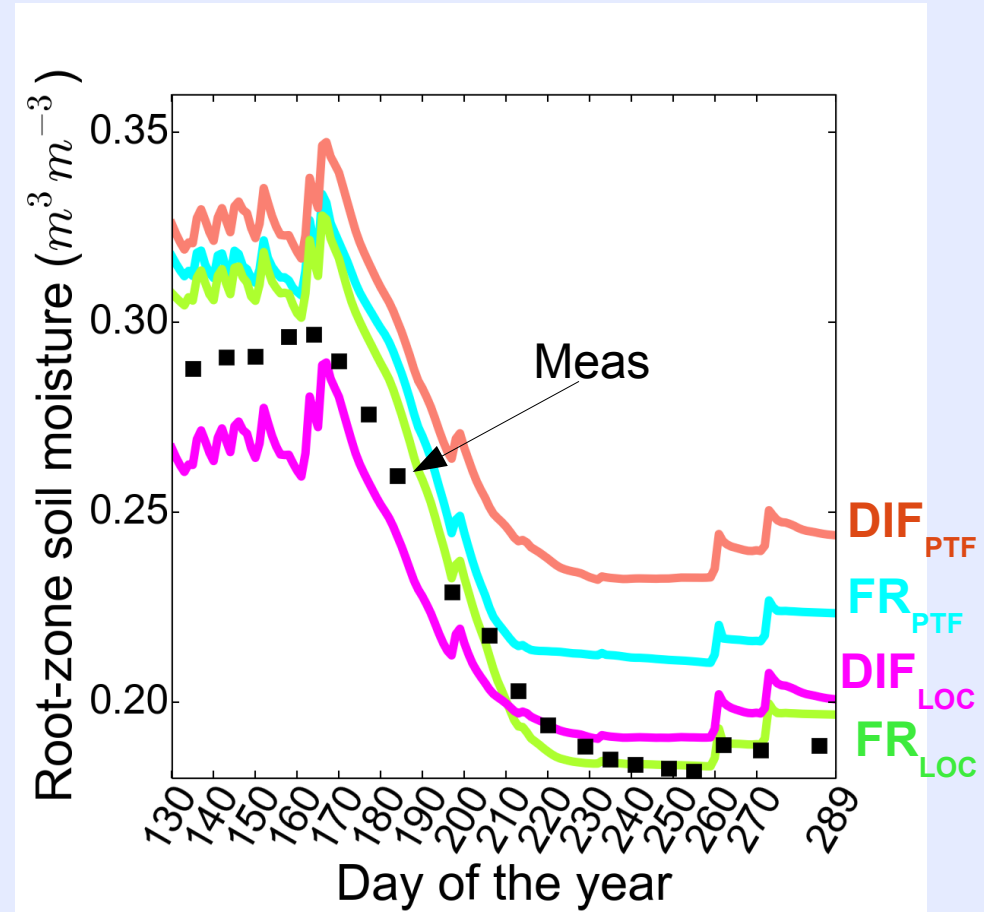
Smaller impact than the differences between FR and DIF

# Evaluation over crop period

## Evapotranspiration



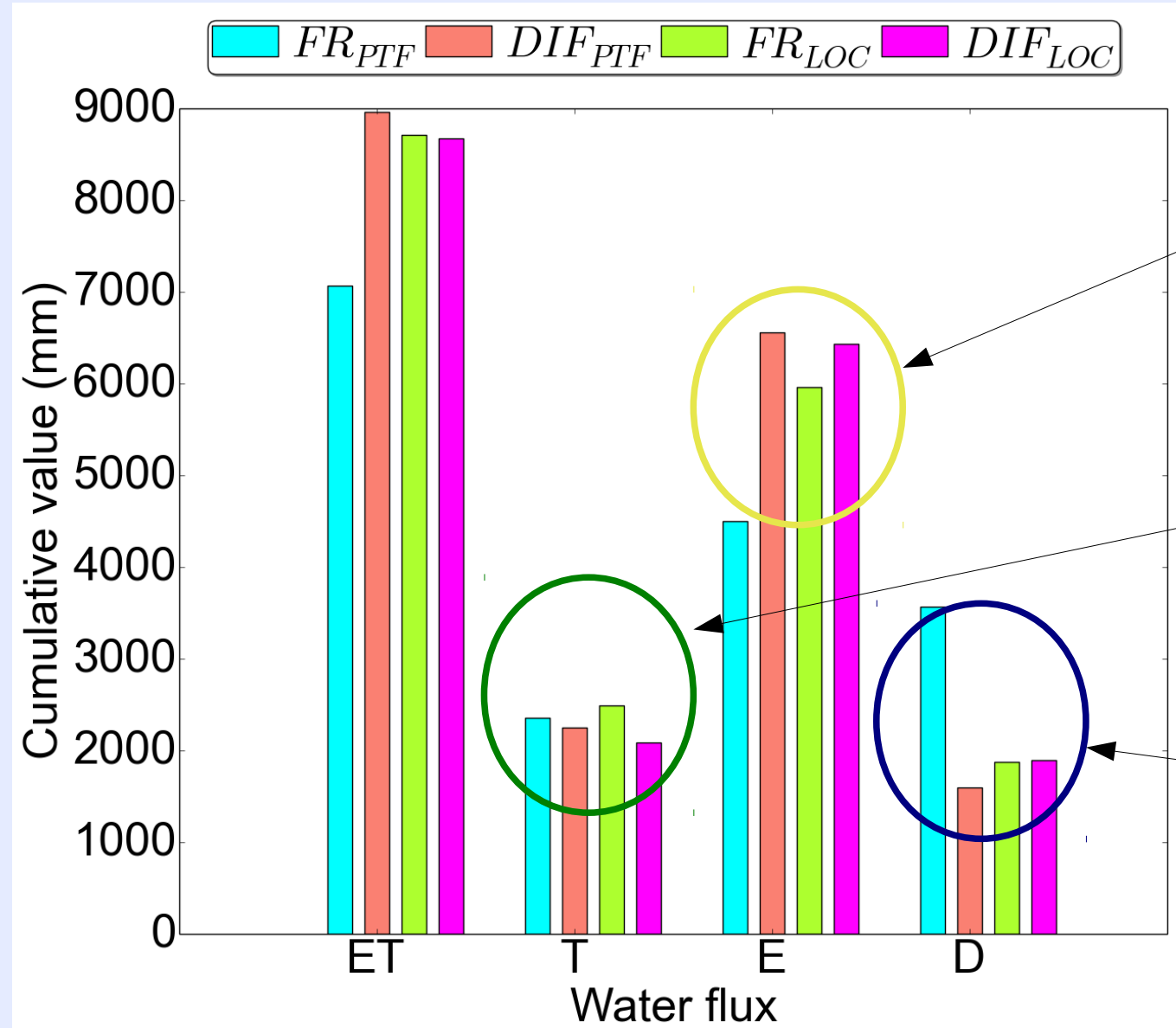
## Root-zone soil moisture



Underestimation of transpiration by DIF with local soil parameters

Uncertainties in root-profile parametrization

# Differences in cumulated soil evaporation, transpiration and drainage between experiments



Impact of soil parameters :  
PTF vs local

## Soil evaporation (E)

FR : increase

DIF : no changes

## Transpiration (T)

FR : increase

DIF : slight decrease

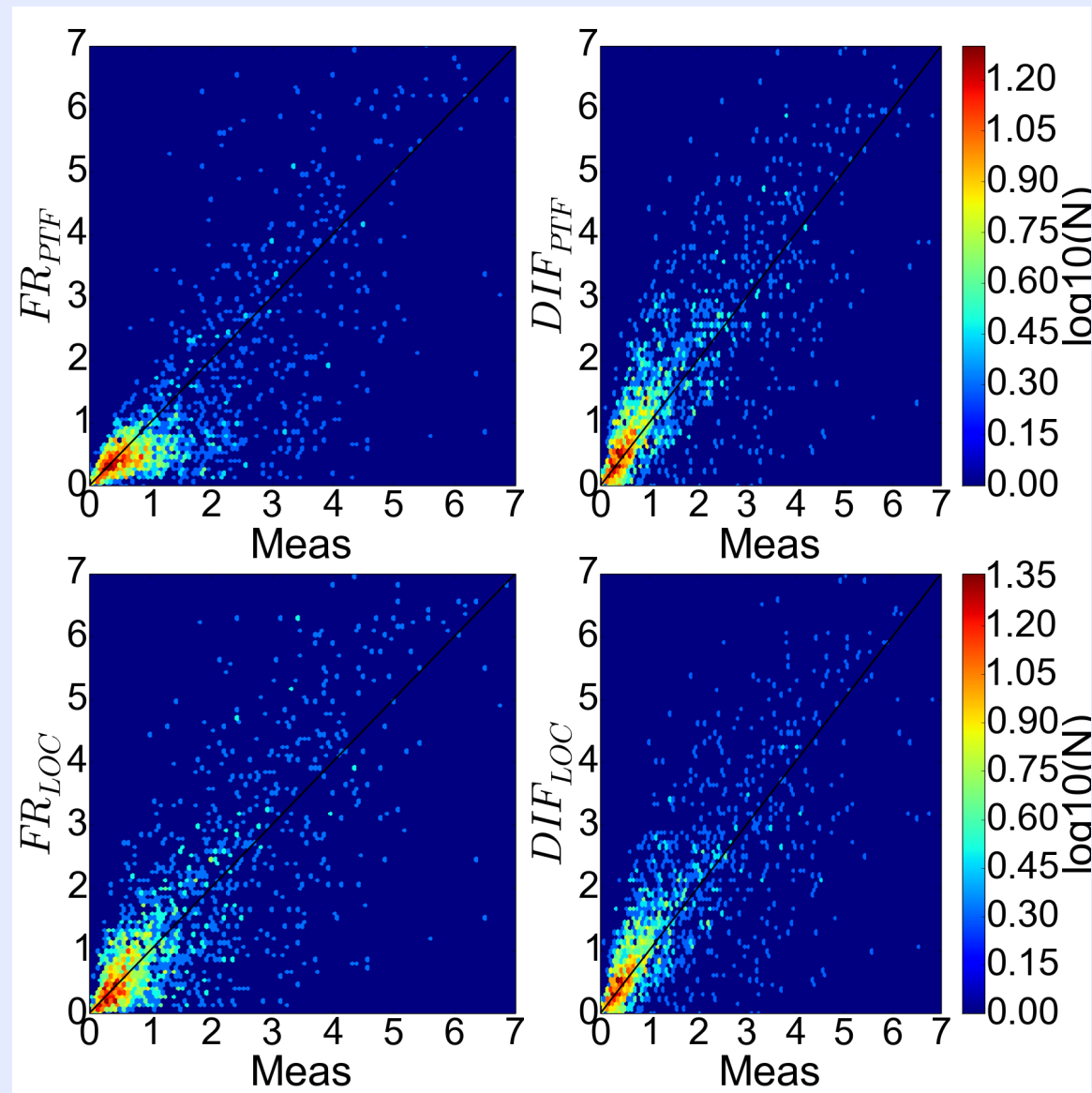
## Drainage (D)

FR : decrease

DIF : no impact

# Overall performances of experiments

Daily evapotranspiration ( $\text{mm}\cdot\text{day}^{-1}$ )



	r	bias	SDD
$FR_{PTF}$	0.77	-0.26	0.85
$DIF_{PTF}$	0.80	0.15	0.81
$FR_{LOC}$	0.80	0.05	0.84
$DIF_{LOC}$	0.78	0.09	0.82

- **When pedotransfer estimates are used :**  
Best performances for **DIF**
- **When local parameters are used :**  
Best performances for **FR**

# Sensitivity to uncertainties in soil parameters

## MULTI-LAYER SOIL DIFFUSION SCHEME

Monte-Carlo analysis

